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Improve Your Financial Know-How by Maximizing Your AAIi Benefits

The American Association of Individual Investors is an independent nonprofit corporation formed in 1978 for the purpose of assisting individuals in becoming effective managers of their own assets through programs of education, information and research.

Tonight's Discussion

- The top member benefits that accompany your AAI membership
- How best to use AAI.com and AAI's educational resources to expand your investing capability and answer your questions

Individual Investor Wealth-Building Process

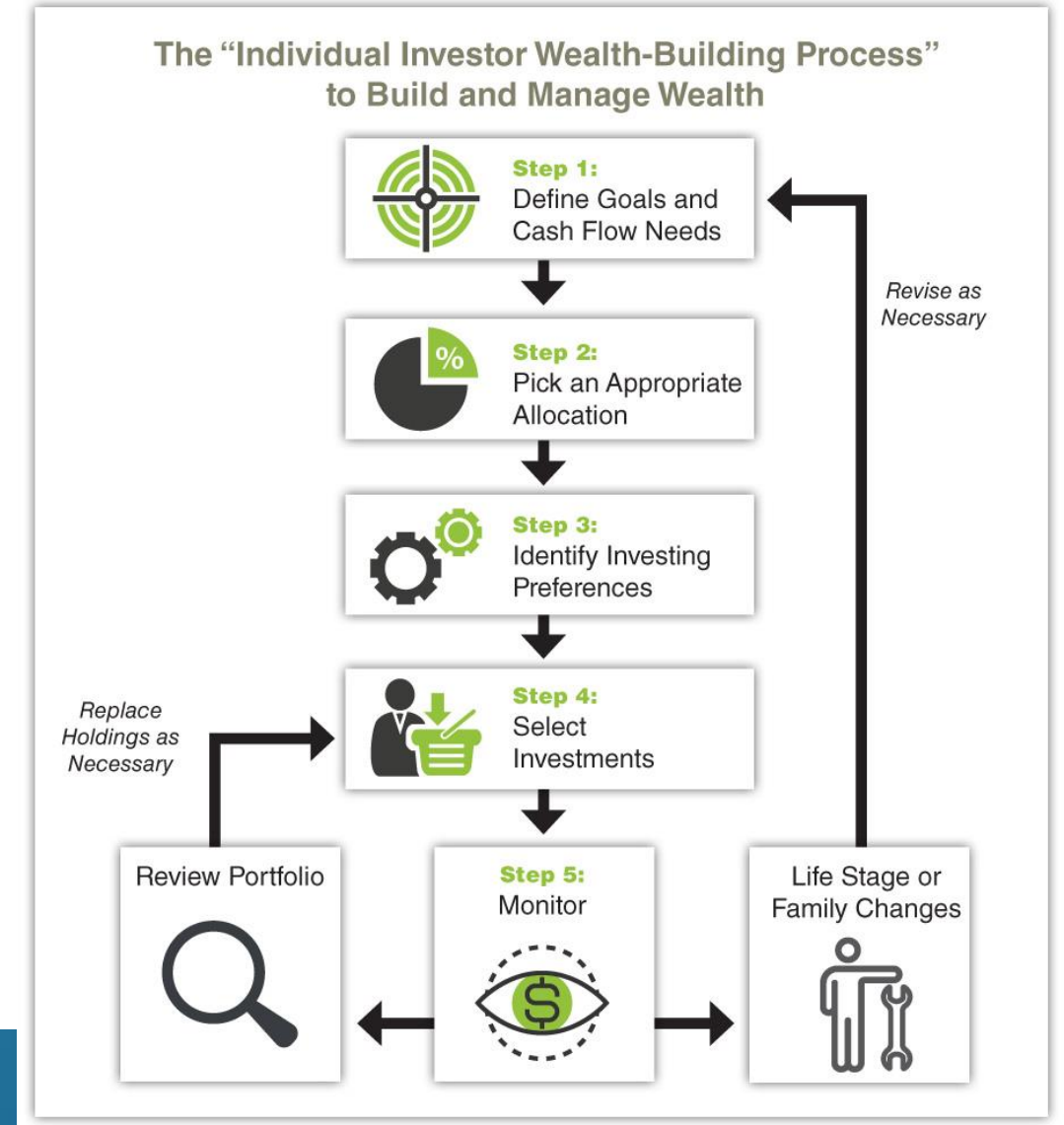
Since AAI began, there has been a growing amount of research showing how systematic approaches lead to better outcomes

Jim Cloonan's Rules for Investing Success

- Rule #1: Develop a consistent, well-defined approach to investing
- Rule #2: Stick to Rule #1

Individual Investor Wealth-Building Process

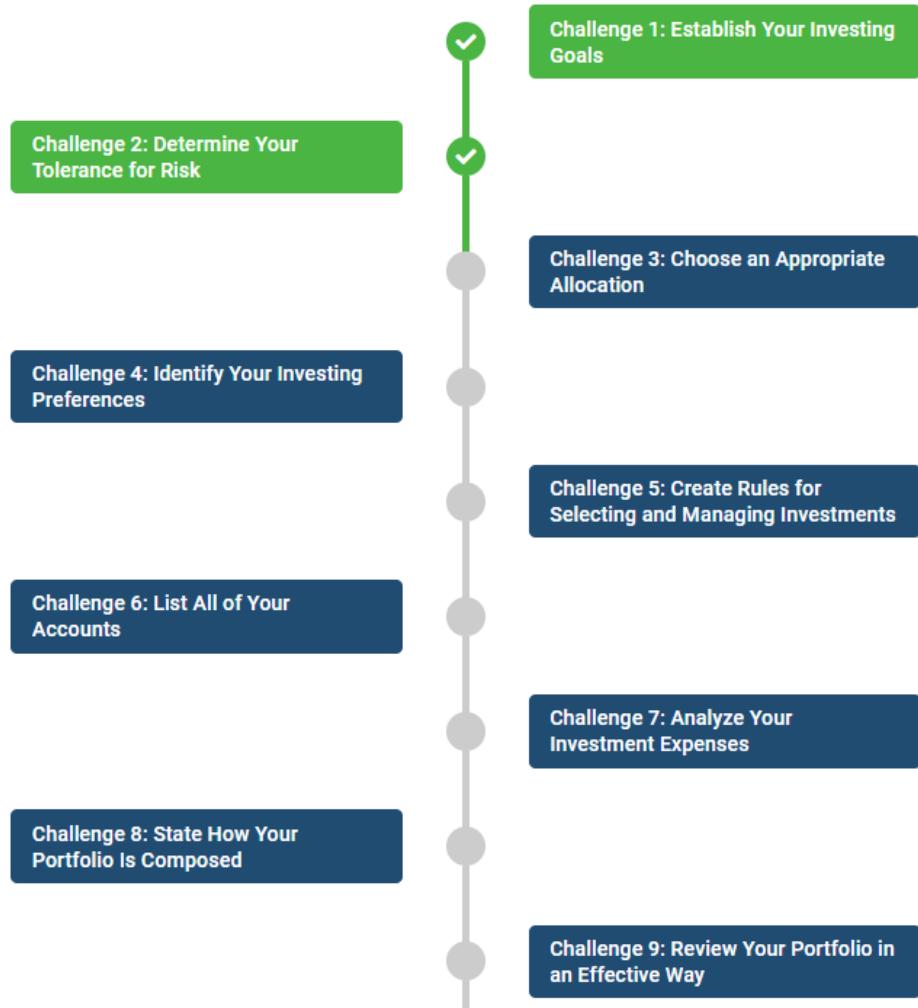
1. Define Goals and Cash Flow Needs
2. Pick an Appropriate Allocation
3. Identify Investing Preferences
4. Select Investments
5. Monitor





THE INDIVIDUAL INVESTOR WEALTH-BUILDING PROCESS

You have completed 2 out of the 12 chapters in the Individual Investor journey. See the [Five Key Steps of the Wealth-Building Process](#) and then start creating your own personalized plan with the challenges below. To get started with the Wealth-Building Process, watch this helpful [introduction video](#).



RESOURCES

[About the Individual Investor Wealth-Building Process](#)

[Wealth-Building Process Flowchart](#)

[Wealth-Building Process Toolbox](#)

[Commentary](#)

LEARN & PLAN RESOURCES

[Investor Classrooms](#)

[Retirement](#)

[Tax Strategies](#)

[Asset Allocation](#)

My Portfolio Tool

- An integrated experience that allows you to track holdings in a single location and perform analysis of those holdings
 - **Track performance** of your holdings
 - Access **in-depth data** on stock, mutual fund and ETF holdings
 - **Stock, mutual fund and ETF ratings** of your holdings
 - **Analyze your portfolio** to see if it fits your style and temperament
 - **Portfolio insights** to identify strong and weak holdings

My Portfolio Tool

Portfolio	News	Grades	Diversification Analyzer	Insights								
Stock Grades												
Name	Ticker	Value		Growth		Momentum		EPS Revisions		Quality		Sector
		Score	Grade	Score	Grade	Score	Grade	Score	Grade	Score	Grade	
Advanced Biomedical Technologies Inc	ABMT	83	F	36	D	2	F		na	45	C	Healthcare
Alibaba Group Holding Ltd - ADR	BABA	69	D	80	B	9	F	42	C	76	B	Technology
Amazon.com, Inc.	AMZN	82	F	88	A	20	F	65	B	81	A	Consumer Cyclicals
Apple Inc	AAPL	70	D	56	C	29	D	66	B	96	A	Technology
CVS Health Corp	CVS	9	A	56	C	26	D	65	B	84	A	Healthcare
International Business Machines Corp.	IBM	34	B	39	D	29	D	39	D	78	B	Technology
Tesla Inc	TSLA	96	F	70	B	71	B	52	C	56	C	Consumer Cyclicals
ETF Grades												
ETF Name	Ticker	1-Yr Return	3-Yr Return	5-Yr Return	Expense Ratio	Category Risk	Category					
Invesco QQQ Trust	QQQ	A	A	A	B	D	Large Growth					
Direxion Daily 20+ Yr Trsy Bull 3X ETF	TMF	F	A	F	C	F	Trading-Leveraged Debt					
Mutual Fund Grades												
Fund Name	Ticker	1-Yr Return	3-Yr Return	5-Yr Return	Expense Ratio	Category Risk	Category					
Dodge & Cox Income	DODIX	A	B	A	A	A	Intermediate Core-Plus Bond					
Vanguard Small	VSMV	C	A	A	A	B	Small Blend					

Portfolio

News

Grades

Diversification Analyzer

Insights

Asset Allocation

Domestic Stock	34.2%
Foreign Stock	13.3%
Bonds	36.7%
Cash	14.9%
Other	0.9%

Asset Allocation Analyzer

Learn how your asset allocation compares to our allocation models.

You are a **Conservative Investor**. You have a short-term investment horizon, you are not risk tolerant and ideally have 40% of your portfolio diversified in stock, as well as 60% allocated to fixed income.

Here is how your current portfolio allocation compares to our breakdowns:

	Your Allocation	Our Conservative Allocation	Difference From Conservative Allocation
Domestic Stock	34.2 %	30%	+ 4.2%
Foreign Stock	13.3 %	10%	+ 3.3%
Bonds	36.7 %	40%	- 3.3%
Cash	14.9 %	20%	- 5.1%
Other	0.9 %	0%	+ 0.9%

Stock Diversification

Sector Diversification

Basic Materials	1.3%
Consumer Cyclical	34.7%
Financial Services	5.5%
Real Estate	1.6%
Communications Services	4.1%
Energy	0.8%

Size Diversification

My Portfolio Tool

Portfolio

News

Grades

Diversification Analyzer

Insights

at a Glance



14

Securities
Currently Following



3

Stocks Currently
Pass a Stocks+
Screen



17 of 40

Individual grades
of your stocks are
a B or greater



5

Fund/ETF Insights

Summary for

The Dow Jones industrial average has decreased by 0% since the close on 04/01/2021. Remember that short-term market fluctuations can be misleading; adopting a long-term perspective is essential to greater success. Your best-performing security over the last 10 years is **Tesla Inc** ([TSLA](#)), which has an annualized price gain (excluding dividends) of 60.2%.

Stock Insights

3 of your 14 holdings currently pass a Stocks+ screen. Have a look at the list of strategies below and learn about the techniques behind them.

Ticker & Matching Strategy	10-Year Return
BABA	na
Buffettology: EPS Growth	15.3%
Buffettology: Sustainable Growth Screen	16.6%
IBM	-2.3%
O'Shaughnessy: Value Screen	5.1%
Dogs of the Dow Screen	8.9%
LAZY	na
O'Shaughnessy: Tiny Titans Screen	17.0%
O'Shaughnessy: Growth Screen II	13.4%

ETF Insights

- 1 of 2 of your ETFs exhibit a strong relative category performance for multiple periods. ([QQQ](#))
- 1 of 2 of your ETFs are more volatile than normal within its category. ([TME](#))

Mutual Fund Insights

- 1 of 4 of your funds exhibit a strong relative category performance for multiple periods. ([NAESX](#))
- 1 of 4 of your funds are less volatile than normal within its category. ([DODIX](#))
- 4 of 4 of your funds have a lower expense ratio than normal within its category. ([VFINX](#), [VHGEX](#), [DODIX](#), [NAESX](#))

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AAII Journal

Markets

Model Portfolios

Inv

Market Summary

Name	Last	Pt Chg	% Chg	Prev. Close
S&P 500	4,075.59	1.65	0.04%	4,073.94
NASDAQ Composite	13,689.15	-9.2304	-0.07%	13,698.38

Daily M

Ticker
▲ SOS
▼ FIX

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8

Model Shadow Stock Portfolio

- The Model Shadow Stock Portfolio developed from an attempt to codify some of the most persuasive academic theories into a set of practical selection and trading rules that an individual investor could follow with minimal effort
- The portfolio has allowed us to share the problems and procedures of managing a portfolio of small stocks using a quantitative approach

Invest Where You Have the Advantage

- Individuals can take positions in micro-cap stocks without distorting the price
- Individuals can invest in thousands of micro-cap stocks that mutual funds and other institutions cannot touch
- Individuals can time buying and selling to match their tax situation and produce a higher aftertax return



Model Portfolios



MEMBER STRATEGY

AAII's Model Shadow Stock Portfolio

In 1993, we started a real-money portfolio to show that a consistent investment approach could be followed without a significant time commitment.

Learn our secrets to outpacing the overall market by nearly 4-to-1 over the past 20 years in our exclusive report, **How to Win With a Small Cap/Value Approach**.

[Read the Report](#)[See the Portfolio](#)

Shadow
Stocks Ideas

Latest
Alerts

COMPANY NAME	TICKER	ACTION	DATE
RCI Hospitality Holdings Inc.	RICK	SELL	3/8/2021
Townsquare Media Inc.	TSQ	SELL	3/8/2021
SIFCO Industries Inc.	SIF	BUY	3/8/2021
Ultralife Corp.	ULBI	BUY	3/8/2021

[See The Portfolio](#)

Top DI Ideas Ranked by Yield (as of 4/6/2021)

AAII SHADOW STOCKS

[AAII.com](#) [Model Shadow Stock Portfolio](#) [Shadow Stock Ideas](#) [Updates](#) [Journal Commentary](#) [How-To](#)

Welcome to AAIL Shadow Stocks

March Model Shadow Stock Portfolio Update

The Model Shadow Stock Portfolio is reviewed quarterly to determine stock sales and additions, a practice put in place since the portfolio's inception in 1993. The quarterly portfolio review cycle is tied to the standard reporting cycle of most publicly traded firms in the U.S. Companies are examined for violating the earnings, valuation, size and age rules of the Model Shadow Stock Portfolio.

[Read more](#)

Latest Journal Commentary:

Shadow Stocks Continue Strong Run, Plus Changes to the Portfolio

Value stocks are outperforming growth stocks for the first two months of this year. It is the first time that value has outperformed growth at this stage of the year since 2016. The outperformance is the strongest seen since 2001, which coincided with the bursting of the dot-com bubble. In the large-cap segment, growth stocks are down 0.5% for the year, while large-cap value stocks are up 4.2% year to date.

Small-company stocks are also outperforming large-company stocks at the start 2021. The Vanguard Small Cap Index fund ([NAESX](#)) is up 8.5% compared to a gain of 1.7% for the Vanguard S&P 500 Index fund ([VFINX](#)). The technology and health care-heavy Nasdaq composite is up 2.5% for the year.

Latest Shadow Stock Portfolio Alerts

COMPANY (TICKER)	ACTION	DATE
RCI Hospitality Holdings Inc. (RICK)	SELL	3/8/2021
Townsquare Media Inc. (TSQ)	SELL	3/8/2021
SIFCO Industries Inc. (SIF)	BUY	3/8/2021
Ultralife Corp. (ULBI)	BUY	3/8/2021

[See Historical Alerts](#) →

Shadow Stock Portfolio Performance

	YTD Return (%)	Annual Return (%)				Ann'l Std Dev (%)	3-Year Risk-Adj Ret (%) [*]
		1-Year	5-Year	10-Year	20-Year		
Shadow Stock Portfolio	29.1	85.0	15.4	13.3	16.2	36.6	12.2
Vanguard 500 Idx (VFINX)	1.7	31.2	16.7	13.3	7.8	18.2	16.7
Vanguard Small Cap Index (NAESX)	8.5	44.2	16.7	12.0	10.0	24.3	14.8

As of 2/28/2021

[See Performance](#) →

User's Guide

To help you start investing in your own Shadow Stock Portfolio, we created this User's Guide. Here you will find information about the strategy used for the Shadow Stock Portfolio, instructions on how to set up your initial portfolio, as well as how to follow the portfolio after setting it up and the rules for when to sell.

AAII Journal

- Monthly publication with practical information and insights
- Charles Rotblut, *AAII Journal* Editor
- Many numerous contributors
- Learn about the latest and current techniques, get access to a deep library of content
- Check out the "Best Of" edition



The AAI Journal | AAI

aaai.com/journal

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Help

Welcome, John

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The AAI Journal

The AAI Journal, a leading investment publication, provides readers with a continuing stream of insights and ideas that focus on how you can improve your investment results. Unlike many investment magazines, ours is completely unbiased, educational and contains no advertising.

AAI has published this prestigious financial journal every month for more than 40 years. Members receive it for free and have access to thousands of evergreen articles online so they can reference information and strategies for previous market situations with a few clicks of the mouse.

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Topic Archive

Below you can view the complete archives of each *AAI Journal* topic.

AAI How To

AAI Model Portfolios

AAI Stock Ideas

Beginning Investor

Behavioral Finance

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Cover Article (April 2021 Issue)



Leaving a Passion for Investing as a Legacy

BY CHRISTINE N. KIEFFER

What you can do to spark loved ones' interest in investing and further their financial acumen.

Editor's Note



It's a New World for New Investors

BY CHARLES ROTBLUT

In This Issue



American Association of Individual Investors

Stock Screens

AAII has examined the characteristics of successful investors (Guru) and common factors

Tracking performance of our interpretation of these approaches on AAII.com

AAII Members have access to

- Guru and factor screens with monthly passing company lists
- Historical performance and risk analysis of the strategies
- Ability to save/favorite screens and access My Screens
- Screen Power Rankings
- Ability to track passing companies in the portfolio manager
- Weekly e-newsletter on stock screening and analysis

A+ Investor/Platinum/Stock Investor Pro Subscribers

All of the above plus

- Daily passing companies lists
- Stock Grades and Stock Grades Screener

Screening section provides quick access to stock, fund and ETF screening tools and resources

The screenshot shows the AAI (American Association of Individual Investors) website's Screening section. The browser address bar shows 'aaii.com/screening'. The navigation bar includes links for Home, Latest, AAI Journal, Markets, Model Portfolios, Investing Ideas, Screening (highlighted with a red circle), Learn & Plan, Community, and A+ Investor. The main content area is titled 'Screening' and is divided into three columns: STOCKS, ETFS, and FUNDS. Each column lists various screening tools and resources. Below the main content, there is a 'COMMENTARY' section featuring an article by Derek J. Hageman titled 'AAII Stock Ideas: O'Shaughnessy's Small-Cap Growth & Value Strategy'. The article discusses a stock-picking strategy based on growth and value criteria. To the right of the commentary, there is a 'RECENTLY' section listing recent articles and reports.

AAII Screening | AAI

My Portfolio Search Quotes and Site Premium Services Welcome, Nacho

AAII American Association of Individual Investors

Home Latest AAI Journal Markets Model Portfolios Investing Ideas **Screening** Learn & Plan Community A+ Investor

Screening

STOCKS

- My Stock Screens
- Power Rankings
- Guru Screens
- Factor Screens
- Premium Screens
- Screen Performance
- Guide to Stock Screening
- A-F A+ Grades Screener
- Commentary & Reports
- Stock Investor Pro

ETFS

- ETF First Cut Screens
- ETF Screener
- Commentary & Reports

FUNDS

- Mutual Fund First Cut Screens
- Mutual Fund Screener
- Commentary & Reports

COMMENTARY

STOCK SCREENING, CORONAVIRUS • FEBRUARY 10
AAII Stock Ideas: O'Shaughnessy's Small-Cap Growth & Value Strategy
by Derek J. Hageman

This week, we cover the O'Shaughnessy Small-Cap Growth and Value stock-picking strategy and give you a list of stocks that currently pass our screen based on the approach. The O'Shaughnessy Small-Cap Growth and Value strategy focuses on small-cap stocks with upward price momentum using both growth and value criteria. Small-cap stocks normally do well during economic recoveries.

[Continue Reading](#)

RECENTLY

[AAII Stock Ideas: Earnings Estimate Revisions Screening Strategies](#)
Derek J. Hageman • Stock Screening, Coronavirus

[AAII Stock Ideas: Stocks Powering AAI's Top Strategy of 2020](#)
Derek J. Hageman • Stock Screening, Coronavirus

[An Unprecedented Year for Stocks: 2020 Review of AAI Stock Screens](#)
Derek J. Hageman • AAI Stock Ideas, Investing Gurus, Growth Investing

LEARN MORE ABOUT OUR FEATURED STOCK SCREEN:

Value on the Move--PEG With Hist Growth Screen



ALSO INSIDE:
Guide to Stock Screening
Commentary & Reports
FAQs

STOCK SCREENING:
Factor Screens
Guru Screens
Screen Power Rankings
My Screens
My Stocks
Stock Grades Screener

ETF SCREE
ETF First C
ETF Scree

STOCK SCREEN PERFORMANCE:
Explore All Screens
Stock Screens Performance
Stock Screens Risk & Return
Stock Screens Characteristics

All Screens

Performance Data as of 3/31/2021

Performance	Characteristics	Grades
-------------	-----------------	--------

Ordered By: Annual Price Gain 10 Year

ExcelPrint

	Screen	Factors ?								Annual			
		V	G	M	S	EE	Y	Q	I	O	YTD	1 Yr	3 Yr
★	O'Neil's CAN SLIM Revised 3rd Edition Screen		G	M							34.3	93.9	20
☆	O'Shaughnessy: Small Cap Growth & Value Screen	V		M	S						152.6	369.1	38
★	Est Rev: Top 30 Up					EE					32.4	187.3	25.2
☆	O'Shaughnessy: Tiny Titans Screen	V		M	S						30.3	205.6	31.3
☆	Buffettology: Sustainable Growth Screen		G					Q			13.8	120.6	26.7
☆	Foolish Small Cap & Screen		G	M	S						6.9	234.5	71.0
☆	Est Rev: Up 5% Screen					EE					30.0	163.3	26.2
☆	Inve\$tWare Quality Growth Screen		G								9.8	110.7	38.2
☆	Buffettology: EPS Growth		G					Q			14.3	107.2	18.4
☆	Dreman With Est Revisions Screen	V	G			EE					18.4	133.5	15.3
☆	O'Shaughnessy: Growth Screen II	V		M							62.8	202.6	19.1
★	Lakonishok Screen	V		M							17.1	126.5	18.9

Screen Power Rankings

Ranked By: Inception as of 1/29/2021

YTD

1 Yr

3 Yr

5 Yr

10 Yr

20 Yr

Inception

Risk-Adjusted Return

Risk Index

Bear

Bull

All Sc

factors



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https://www.aaii.com/security/ad

aaaii.com/stockideas/powerrankings?orderBy=inc#powerrankings

523

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Screen Power Rankings

Ranked By: Inception as of 1/29/2021

Follow strategies inspired by the teachings of stock market gurus or organized by discrete factors known to separate stock market winners from the rest of the pack.

To begin following a stock strategy, click on the star icon ☆ next to each strategy's name throughout this website. Your stock ideas will be updated daily.

All Screens

Gurus

Factors

#1



GURU STRATEGY

O'Shaughnessy: Tiny Titans Screen ☆

Inception Annual Price Gain: 23.8%
Factors: Value, Size, Momentum

O'Shaughnessy tries to predict the future using historical long-term trends. This screen was developed from the methodology of investment guru Jim O'Shaughnessy.

Top Stocks as of 2/23/2021:

AMTX

KSPN

LAZY

MN

DXYN

View All (25)

[Our formula to the O'Shaughnessy: Tiny Titans Screen.](#)

#2



FACTOR STRATEGY

Est Rev: Top 30 Up ★

Inception Annual Price Gain: 23.3%
Factors: Earnings Estimates

Introduction to the use of earnings estimates. Based on the Earnings Estimates factor.

Top Stocks as of 2/23/2021:

SKT

SMED

OLN

CYH

KFY

View All (30)

[Our formula to the Est Rev: Top 30 Up.](#)

Passing Companies

Stocks That Currently Pass The Lakonishok Screening Model

Passing companies as of 4/6/2021. Companies are ranked by Relative Strength—13wk (d)

[PrintExcel](#)

Company Name	Ticker	Exchange	Price	PE	Price/Book	Price/CFPS	Price/Sales	Ind. Price/Sales	Relative Strength 13 week	Relative Strength 26 week	EPS Est Y0-Revisions up	EPS Est Y0-% Rev-Last Month
Rev Group Inc	REVG +	N	\$18.49	0.0	2.47	0.0	0.5	1.4	92.37	89.28	6.00	54.5
Conn's Inc	CONN +	M	\$23.25	0.0	1.22	0.0	0.5	0.7	86.72	83.59	3.00	28.8
Shoe Carnival, Inc.	SCVL +	M	\$60.84	55.3	2.76	19.9	0.9	0.8	49.27	46.49	2.00	15.4
Big Lots, Inc.	BIG +	N	\$68.79	4.3	1.97	5.5	0.4	1.1	47.71	24.11	1.00	0.5
Commercial Metals Company	CMC +	N	\$31.07	14.3	1.86	27.3	0.7	0.7	38.00	24.22	6.00	26.7
Central Garden & Pet Co	CENT +	M	\$58.18	24.2	2.88	19.0	1.1	1.6	36.69	19.53	1.00	7.5
Thor Industries, Inc.	THO +	N	\$137.03	19.5	2.95	0.0	0.8	1.7	32.69	12.73	11.00	18.1
Foot Locker, Inc.	FL +	N	\$56.21	18.4	2.11	7.6	0.8	0.8	30.58	29.68	3.00	0.5
Polaris Inc	PII +	N	\$136.65	75.7	7.43	18.1	1.2	1.7	29.68	13.66	2.00	0.0
Apollo Medical Holdings Inc	AMEH +	M	\$27.43	27.3	3.12	11.4	1.5	2.8	29.43	24.92	1.00	5.0
Lennar Corporation	LEN +	N	\$104.96	10.7	1.72	21.3	1.4	1.2	28.36	2.88	18.00	19.4

Tax Guide

- Annual tax guide, released every December
- Go to the Journal tab of AAII.com, click on Investor Guides link
- You'll get:
 - Tax rules for current and next year
 - Year-end planning tips and investment strategies
 - Updated online through the year
 - Tax Forecasting Worksheet
 - Tax Calendar (online supplement)

The screenshot shows the AAII (American Association of Individual Investors) website. The top navigation bar includes 'MY PORTFOLIO', a search bar, 'Premium Services', and a user greeting 'Welcome, John'. The main navigation menu has links for 'My AAII', 'Latest', 'AAII Journal', 'Markets', 'Model Portfolios', 'Investing Ideas', 'Screening', 'Learn & Plan', 'Community', and 'A+ Investor'. Below this, a 'AAII GUIDES' section is highlighted. The main content area is titled 'The Individual Investor's Guide to Personal Tax Planning 2020'. It includes a description: 'Our annual tax and financial planning guide is designed to help you assess your current tax situation and plan for any changes that may improve your tax liability both this year and next.' Below this is a list of links under 'In This Guide', including 'Estimate Your Taxes on AAII.com', 'What's New?', 'Tax Software, Books and Guides', 'Specific Tax Guidelines', 'Be Vigilant About Tax Scams', 'Useful Tax Numbers', 'Investment Strategies: 2021 and Beyond', 'Tax Planning Strategies', and 'Year-End Estate and Gift Tax Planning'. To the right, a 'Downloads' section lists '2020 Tax Planning' and '2021 Tax Planning' guides, each with sub-links for 'Tax Rates', 'Allowable Tax Benefits', 'Other Tax Items', and 'Tax Benefit Phaseout Levels'. Below the downloads, a 'More Rules for Investors' section lists 'Personal Investments Guide'. A 'Further Insights' section lists various articles like 'Health Care Reform's Impact on Taxes', 'Cost Basis Reporting for Stocks, Bonds, Funds and Options', 'Income, Medicare Part B and Social Security', 'Protecting Yourself Against Tax Scams', 'The Tax Impact of Investing for and in Retirement', 'More on Health Savings Accounts', 'Where's My Money? Tracking Your Refund 24/7', and 'AMT: An Unpleasant Surprise'. At the bottom, a 'Commentary' section is partially visible.

Mutual Fund and ETF Guides

- Mutual Fund Guide online covers over 25,000 funds
- ETF Guide online covers all traded exchange-traded funds
 - One-, three-, five- and 10-year returns are available for funds that have them, along with how the return ranks within the fund's category using AAII's A–F grades
 - Data also covers risk, expenses, asset allocation, turnover, etc.
 - Data updated monthly.
- Compare Tool allows for quick head-to-head comparison of any fund or ETF

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COMMENTARY

U.S. Equity

Sector Equity

International Equity

Allocation

Taxable Bond

Municipal Bond

Alternative

Commodities

Data as of 2/28/2021

Small Value

Large Blend

Large Growth

Large Value

Mid-Cap Blend

Mid-Cap Growth

Mid-Cap Value

Small Blend

Small Growth

Small Value

Excel

		Ticker	Fund Name	Category	Socially Resp.	Index Fund	Type of Index Tracked	Inverse Fund	Leveraged Fund	Inception Date	1-Mo Return	1-Mo Grade	3-Mo Return
☐	+ RWJ	RWJ	Invesco S&P SmallCap 600 Revenue ETF	Small Value		✓	S&P SmallCap 600 Revenue-Weighted TR USD			02/19/2008	0.1%	F	33.8%
☐	+ CALF	CALF	Pacer US Small Cap Cash Cows 100 ETF	Small Value		✓	Pacer US Small Cap Cash Cows TR USD			06/16/2017	9.7%	D	28.0%
☐	+ RZV	RZV	Invesco S&P SmallCap 600® Pure Value ETF	Small Value		✓	S&P SmallCap 600 Pure Value TR USD			03/01/2006	14.7%	A	26.6%
☐	+ AVUV	AVUV	Avantis® U.S. Small Cap Value ETF	Small Value			Russell 2000 Value TR USD			09/24/2019	13.0%	A	28.0%
☐	+ FYT	FYT	First Trust Small Cap Val AlphaDEX® ETF	Small Value		✓	NASDAQ AlphaDEX(R) SC Value TR USD			04/19/2011	11.2%	B	23.8%
☐	+ XSVM	XSVM	Invesco S&P SmallCap Value with Momt ETF	Small Value		✓	S&P SC 600 Hgh Mmntm Value TR USD			03/03/2005	-1.0%	F	30.2%
☐	+ RFV	RFV	Invesco S&P MidCap 400® Pure Value ETF	Small Value		✓	S&P MidCap 400 Pure Value TR USD			03/01/2006	10.2%	C	22.2%
☐	+ CSB	CSB	VictoryShares US SmCp Hi Div Vol Wtd ETF	Small Value		✓	NASDAQ Victory US SCHD 100Vty Wtd TR USD			07/07/2015	10.3%	C	24.3%
☐	+ VIOV	VIOV	Vanguard S&P Small-Cap 600 Value ETF	Small Value		✓	S&P SmallCap 600 Value TR USD			09/07/2010	10.8%	B	26.8%
☐	+ SLYV	SLYV	SPDR® S&P 600 Small Cap Value ETF	Small Value		✓	S&P SmallCap 600 Value TR USD			09/25/2000	10.8%	C	26.7%

Mutual Fund Guide

ALL

No-Loads

U.S. Equity

Sector Equity

International Equity

Allocation

Taxable Bond

Municipal Bond

Alternative

Commodities

Data as of 2/28/2021

- Select Category ▼
- China Region
 - Diversified Emerging Mkts
 - Diversified Pacific/Asia
 - Europe Stock
 - Foreign Large Blend
 - Foreign Large Growth
 - Foreign Large Value
 - Foreign Small/Mid Blend
 - Foreign Small/Mid Growth
 - Foreign Small/Mid Value
 - India Equity
 - Japan Stock
 - Latin America Stock
 - Miscellaneous Region
 - Pacific/Asia ex-Japan Stk
 - World Large Stock
 - World Small/Mid Stock

Excel

			Fund Name	Category	Socially Resp.	Index Fund	Type of Index Tracked	Inverse Fund	Leveraged Fund	Inception Date	1-Mo Return	1-Mo Grade	3-Mo Return
		ERX	American Beacon International Eq R6	Foreign Large Value			MSCI EAFE NR USD			02/28/2017	5.2%	B	7.5%
		EX	American Beacon International Eq R5	Foreign Large Value			MSCI EAFE NR USD			08/07/1991	5.1%	B	7.4%
		XPX	American Beacon International Eq Inv	Foreign Large Value			MSCI EAFE NR USD			08/01/1994	5.1%	B	7.4%
☐	⊕ AAISX	AAISX	American Beacon International Eq Adv	Foreign Large Value			MSCI EAFE NR USD			05/01/2003	5.1%	B	7.4%
☐	⊕ ABEMX	ABEMX	Aberdeen Emerging Markets Instl	Diversified Emerging Mkts			MSCI EM NR USD			05/11/2007	-0.5%	F	13.2%
☐	⊕ ABEYX	ABEYX	American Beacon International Eq Y	Foreign Large Value			MSCI EAFE NR USD			08/03/2009	5.2%	B	7.5%
☐	⊕ ABIEX	ABIEX	AB Emerging Markets Multi-Asset I	Diversified Emerging Mkts			MSCI EM NR USD			08/31/2011	1.4%	C	9.8%
☐	⊕ ABIYX	ABIX	AB International Value Advisor	Foreign Large Value			MSCI EAFE NR USD			03/29/2001	2.8%	D	8.6%
☐	⊕ ABEMX	ABEMX	AB Emerging Markets Multi-	Diversified Emerging Mkts			MSCI EM NR USD			05/11/2007	-0.5%	F	13.2%

Mutual Fund/ETF Compare Tool

Investing in Mutual Funds | Un... AAII

https://www.aaii.com/funds/compare?symbols=

Compare Funds

Add/Remove Funds:

Data as of 2/28/2021

	+ RSP	×	+ SPY	×	+ VFINX	×
Name	Invesco S&P 500® Equal Weight ETF		SPDR® S&P 500 ETF Trust		Vanguard 500 Index Investor	
Category	Large Blend		Large Blend		Large Blend	
Index Fund	✓		✓		✓	
Inception Date	04/24/2003		01/22/1993		08/31/1976	
Return 1-Month	6.1% A		2.8% C		2.8% D	
Return 3-Month	9.7% A		5.6% C		5.6% C	
Return YTD	5.2% A		1.7% C		1.7% D	
Return 1-Year	32.7% B		31.3% C		31.1% C	
Return 3-Year	12.2% D		14.1% B		14.0% B	
Return 5-Year	14.9% D		16.7% C		16.7% B	
Category Risk Index	1.16 F		0.99 C		0.98 C	
Total Risk Index	1.38		1.19		1.19	
Turnover						

Community

- Nearly 40 **local chapters**, grassroots organizations led by volunteer AAIL members, with regular meetings that provide unbiased investment education in a social context
- **Online Clubs**
- Webinars and new **Individual Investor Show**

 American Association of Individual Investors

Home Latest AAIL Journal Markets Model Portfolios Investing Ideas Screening Learn & Plan Community A+ Investor

Community

AAIL Investment Clubs

AAIL investment clubs allow AAIL to extend its mission "person to person" to individual investors by online programming meetings and discussion that provide unbiased investment education in a social context.

Find an AAIL Investment Club that fits your needs.



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Investment education in a "person to person" social setting located in your own community.

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AAIL Webinars

Live video events aimed at educating individual investors

[Find a Webinar](#)

Member Email Newsletters

- Weekly

- AAII Educated Investor

- Topical articles from AAII.com delivered every Tuesday that will help you get smarter about investing

- AAII Stock Ideas Update

- Presentation of guru or factor stock screen presented Wednesday

- AAII Investor Update

- Insights from *AAII Journal* editor Charles Rotblut every Thursday

- Monthly

- AAII Journal Update

- Notifications when the latest edition of the *AAII Journal* is available online

- AAII Model Shadow Stock Portfolio Update

- Quarterly alert to changes in Model Shadow portfolio along with monthly insights email that examines performance, news and market observations

Access “Email Preferences” from drop-down menu

The screenshot displays the AAI website interface. At the top, there's a navigation bar with links like 'My Portfolio', 'Home', 'Latest', 'AAII Journal', 'Markets', 'Model Portfolios', 'Investing Ideas', 'Screening', 'Learn & Plan', 'Community', and 'A+ Investor'. A search bar is also present. On the right, a user is logged in as 'John', with a drop-down menu showing options: 'My Account', 'Contact Us', 'Email Preferences' (highlighted with a mouse cursor), 'AAII Premium Services', and 'Log Out'. Below the navigation bar, the 'Latest Articles' section features several articles with thumbnails and titles. The main content area has a large graphic for 'Financial Capability Month' and an article titled 'Lessons From How Professionals Invest Their Money'. On the right side, there's a 'My AAI' section with various service icons and labels like 'AAII Platinum', 'Shadow Stocks', 'A+ Investor', 'Dividend Investing', 'Stock Superstars Report', 'VMQ Stocks', and 'Stock Investor Pro'.

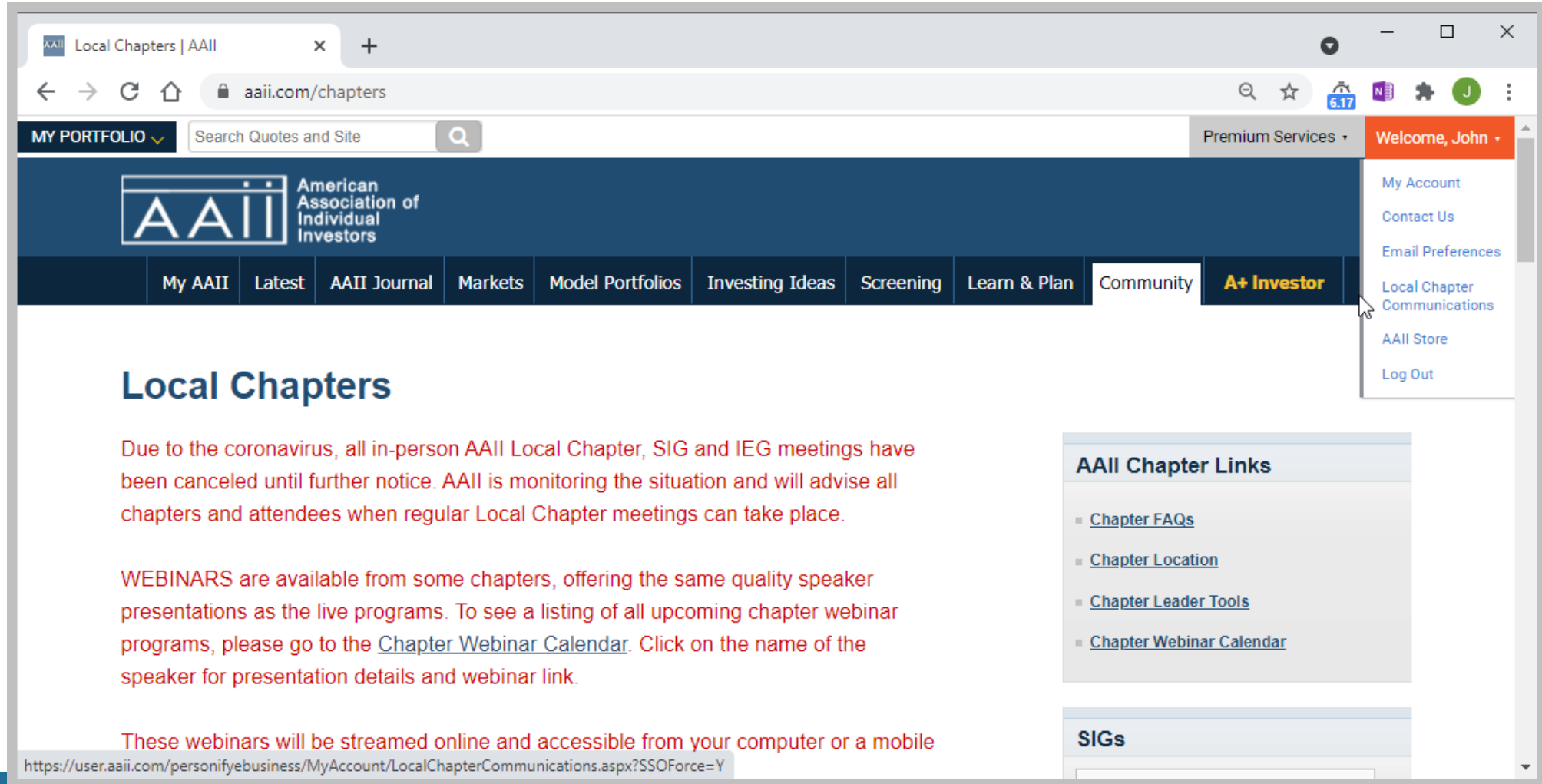
Check/Uncheck Desired Newsletters

Check the box next to each communication you would like to receive. Uncheck it to opt out of the communication. By checking any of the options below, you are granting AAI express consent to send you communications.

☒ **Select all / deselect all**

Newsletters & Updates	Promotional Announcements
AAII Membership Emails ☒ AAII Investor Update Weekly insights on how to invest better from <i>AAII Journal</i> editor Charles Rotblut. ☒ AAII Educated Investor Topical articles delivered weekly that will help you get smarter about investing. ☒ AAII Journal Update Get email notifications when the latest edition of the <i>AAII Journal</i> is available. ☒ AAII Model Shadow Stock Portfolio Update Monthly insights and portfolio alerts for our Model Shadow Stock Portfolio. ☒ AAII Stock Ideas Update Ideas on how to find winning stocks from legendary investors and proven strategies. ☒ AAII Surveys Invitations to participate in our investing surveys.	☒ AAII Membership Promotional Emails Unbiased, actionable investment education, information and research. ☒ Dividend Investing Dividend Investing identifies dividend-growing stocks with the potential to rise in price. ☒ A+ Investor A suite of online tools for evaluating stocks, funds and ETFs and tracking your portfolio. ☒ Stock Superstars Report SSR combines four investment approaches to achieve market-beating returns. ☒ Stock Investor Pro A powerful stock screening and database program designed for individual investors. ☒ VMQ Stocks VMQ seeks out stocks with low valuations, strong price momentum and underlying quality traits. ☒ AAII Platinum A complete wealth system that includes access to all of AAI's powerful tools and premium newsletters.

Managing Local Chapter Emails



The screenshot shows a web browser window with the URL aaii.com/chapters. The page features a dark blue header with the AAI logo and the text "American Association of Individual Investors". Below the header is a navigation bar with links: My AAI, Latest, AAI Journal, Markets, Model Portfolios, Investing Ideas, Screening, Learn & Plan, Community, and A+ Investor. A dropdown menu is open for the "A+ Investor" link, showing options: My Account, Contact Us, Email Preferences, Local Chapter Communications, AAI Store, and Log Out. The main content area is titled "Local Chapters" and contains the following text:

Due to the coronavirus, all in-person AAI Local Chapter, SIG and IEG meetings have been canceled until further notice. AAI is monitoring the situation and will advise all chapters and attendees when regular Local Chapter meetings can take place.

WEBINARS are available from some chapters, offering the same quality speaker presentations as the live programs. To see a listing of all upcoming chapter webinar programs, please go to the [Chapter Webinar Calendar](#). Click on the name of the speaker for presentation details and webinar link.

These webinars will be streamed online and accessible from your computer or a mobile

On the right side of the page, there are two sections: "AAII Chapter Links" with links to Chapter FAQs, Chapter Location, Chapter Leader Tools, and Chapter Webinar Calendar; and "SIGs".

The browser's address bar shows the URL: <https://user.aaii.com/personifybusiness/MyAccount/LocalChapterCommunications.aspx?SSOForce=Y>

Check/Uncheck Desired Local Chapter

About Me

Name & Demographics

Username / Password

Communication

Contact Information

Update My Local Chapter Communications

Membership & Participation

Membership Details

Committees

Purchases

Purchase History

Pay Open Orders

Saved Credit Cards

Local Chapter Communications

Signing-Up for Local Chapter(s):

To sign-up for one or more chapter(s), click "Edit" and select the appropriate checkboxes below. This is a free service to all AAI members and it assures that you will receive all chapter meeting announcements for the chapters you select by both postal mail and email.

Removing Local Chapter(s):

To remove one or more chapter(s), click "Edit" and uncheck the appropriate checkboxes below.

Update My Local Chapter Communications

I am interested in receiving information on the following topics:

☐ Chapter - Electronic

☐ Albuquerque

☐ Atlanta

☐ Austin, TX

☐ Baltimore

☐ Baton Rouge

☐ Birmingham

☐ Central Florida

☐ Charlotte

☒ Chicago

☐ Cleveland

Coming Soon: My Library

- Conveniently **save an article/video** and add it to a collection of content that you can organize
- Will be accessible on homepage and top right corner of AAIi.com
- To be released this summer

