Overview of My Wealth-Building Process

My Financial Goals

(See Goals Worksheet)	**Priority**

My Risk Tolerance

(See Revised Risk Tolerance Worksheet)	**Tolerance and Allocation**
Timing Risk	
Financial/Psychological Tolerance	
Overall Risk Tolerance	
Allocation Model	

Portfolio Management Choices

(See How Your Portfolio Is Managed Worksheet)	**Type**
My Investor Type	

Types of Investments and/or Services Used

(See How Portfolio Is Managed Worksheet)	
Individual Stocks	
Individual Bonds	
Index Mutual Funds and/or ETFs	
Actively Managed Funds	
Closed-End Funds	
Financial Planner	
Robo Adviser	
Investment Adviser	
Other:	

Investment Accounts

(See Account Inventory Worksheet)	**Checkmarks Where Applicable**
Bank Accounts	☐
Taxable Investment Accounts	☐
IRAs, SEP IRAs, Etc.	☐
Roth IRAs	☐
Inherited IRAs/Roth IRAs	☐
Workplace Retirement Accounts	☐
Health Savings Accounts	☐
Life Insurance Policies	☐
Other	☐

Investment Expenses

(See Expense Tracking Worksheet)	**State Ratio**
Total Cost Ratio	______

Portfolio Composition

(See Composition & Notes Worksheet)	**Allocation % and Types of Investments**
Equities	______
Equities	______
Equities	______
Equities	______
Fixed Income	______
Fixed Income	______
Fixed Income	______
Other Assets	______
Other Assets	______
Cash Equivalents	______
Cash Equivalents	______
Cash Equivalents	______

Retirement Withdrawals

(See Withdrawal Strategy Worksheet)	**List Amounts**
Current Year Withdrawal	______
Required Minimum Distribution (RMD)	______
Amount Above/Below RMD	______
Withdrawals from Non-RMD Accounts	______

Portfolio Review

(See Portfolio Review Checklist)	**Summarize Rules**
Stocks	______
Bonds	______
Mutual Funds	______
ETFs	______
Portfolio Allocation	______
Investment Expenses	______

Life Stage Changes

(See Life Stage Changes Checklist)	**List Any Changes**
Family: Births, Deaths, Divorces, etc.	______
Health	______
Employment	______
Housing	______
Wealth	______

Key Estate Planning Information	
(See Key Estate Planning Information)	**Date of Last Update or N/A**
Financial & Estate Planning Professionals	
Doctors	
Life Insurance Policies	
Wills and Trust Documents	
Funeral Arrangements	
Digital Accounts	
Other Documents	