# Becoming an A+ Investor

**Wayne A. Thorp, CFA**
Senior Financial Analyst &
Head of New Product Development
AAII
wayne@aaii.com
www.twitter.com/WayneTAAII

A+ Investor

*The mission of* A+ Investor *is to help investors with financial planning, stock and mutual fund selection and analysis, portfolio tracking and asset allocation analysis*

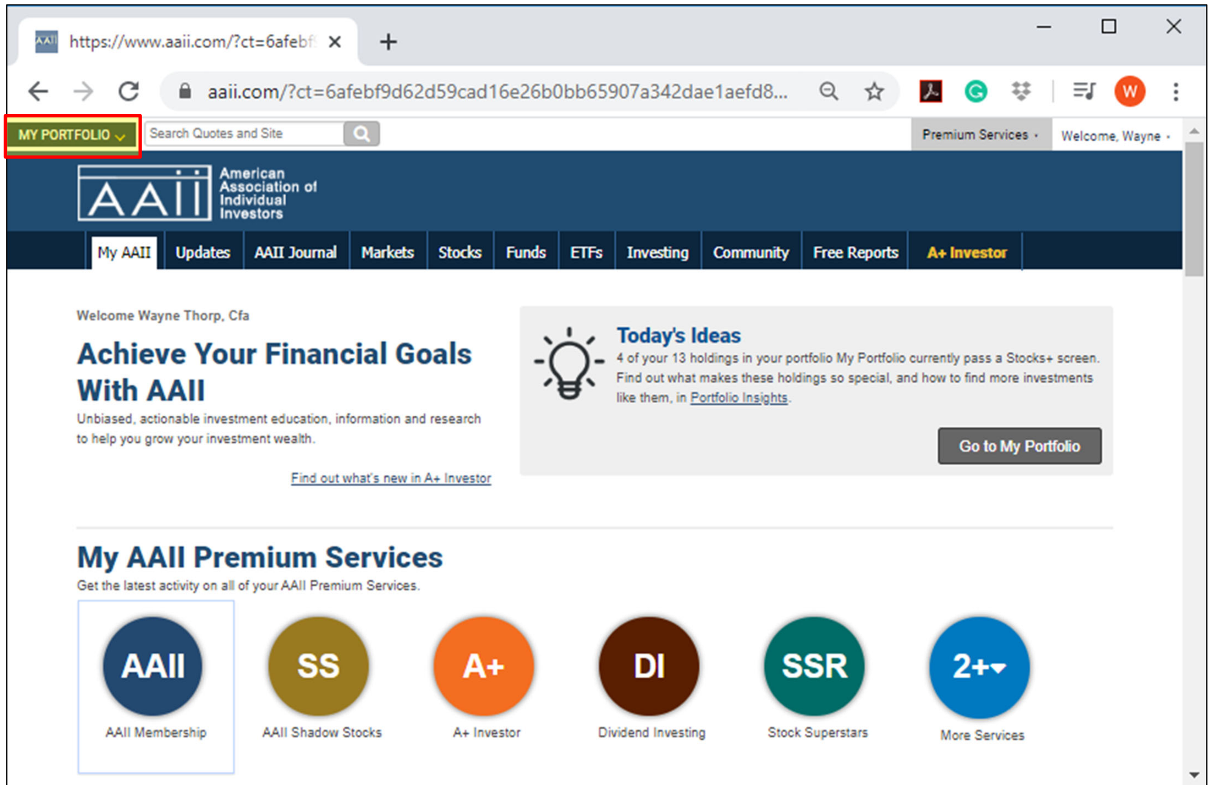
## What Problems Do You Face as an Investor?

- Identifying which stocks, mutual funds & ETFs to buy or sell using a sound & repeatable approach
- Building, managing & tracking a diversified portfolio
- Identifying & tracking strategies/sectors/ industries of interest
- Having an independent source for information



## AAII's A+ Investor Service

- My Portfolio
  - Portfolio analysis
  - Insights Email
- Stock, Mutual Fund & ETF Evaluators
- A+ Stock Grades
  - Upgrades/ Downgrades
  - Quant Screener
- Mutual Fund & ETF Screeners
  - Predefined & custom screens
- Stock Screens
  - Daily stock ideas
  - Screen Power Ranking
  - Stocks passing multiple screens

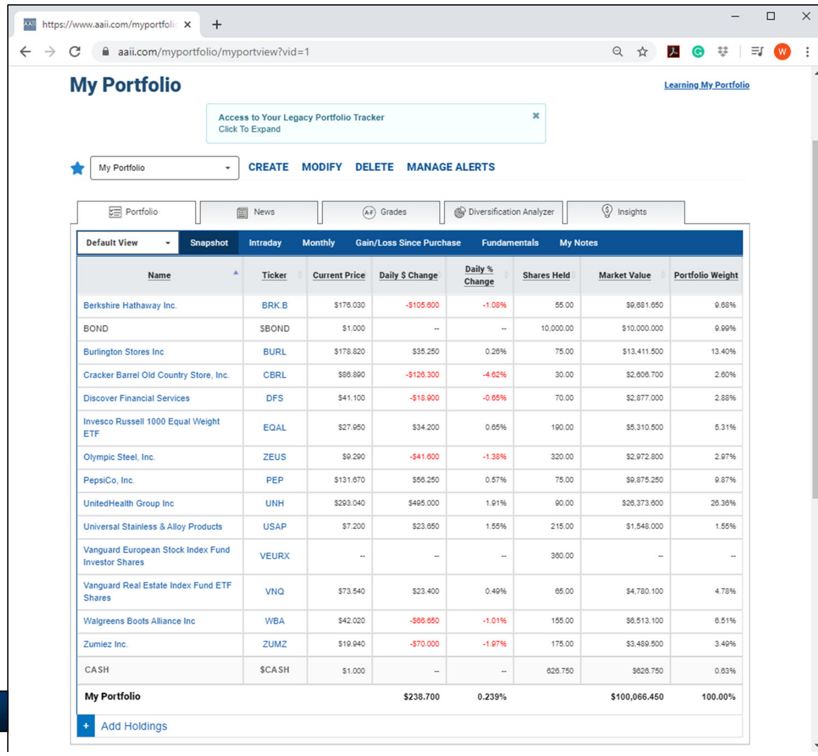





# My Portfolio

- Portfolio tracker
  - Stocks, funds, ETFs, bond balance, cash balance
  - Portfolio analysis
    - Asset diversification, sector diversification, size diversification, geographic diversification
  - Performance tracking

# My Portfolio



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## My Portfolio

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My Portfolio | CREATE | MODIFY | DELETE | MANAGE ALERTS

Portfolio | News | Grades | Diversification Analyzer | Insights

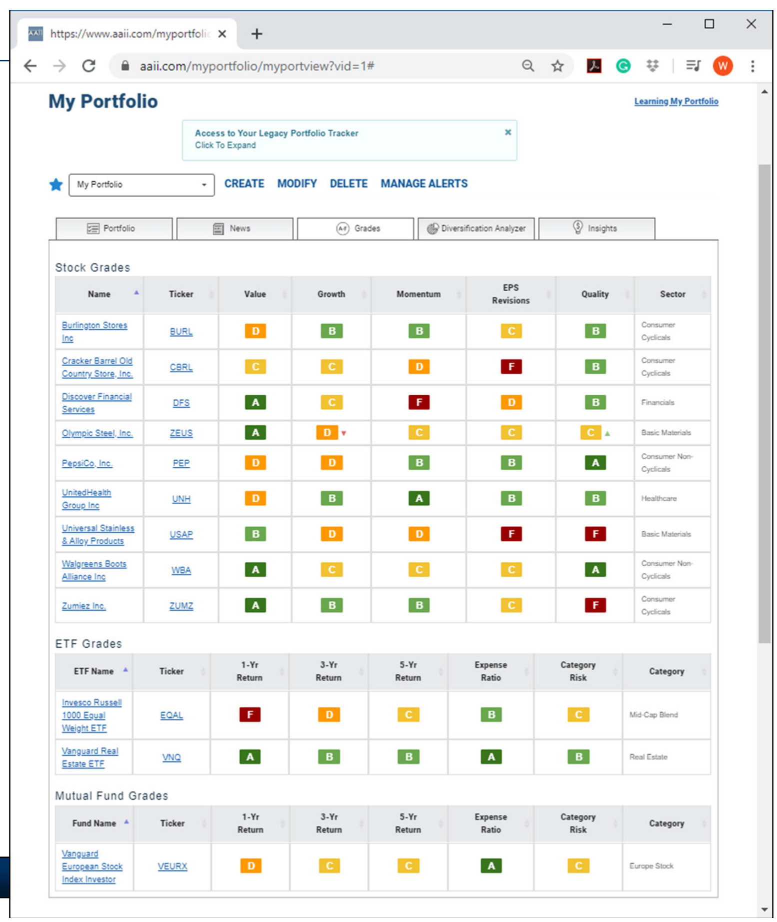
Default View | Snapshot | Intraday | Monthly | Gain/Loss Since Purchase | Fundamentals | My Notes

| Name | Ticker | Current Price | Daily $ Change | Daily % Change | Shares Held | Market Value | Portfolio Weight |
|---|---|---|---|---|---|---|---|
| Berkshire Hathaway Inc. | BRK.B | $176.030 | -$105.600 | -1.08% | 55.00 | $9,681.650 | 9.68% |
| BOND | $BOND | $1.000 | -- | -- | 10,000.00 | $10,000.000 | 9.99% |
| Burlington Stores Inc | BURL | $178.820 | $35.250 | 0.26% | 75.00 | $13,411.500 | 13.40% |
| Cracker Barrel Old Country Store, Inc. | CBRL | $86.890 | -$126.300 | -4.62% | 30.00 | $2,606.700 | 2.60% |
| Discover Financial Services | DFS | $41.100 | -$18.900 | -0.65% | 70.00 | $2,877.000 | 2.88% |
| Invesco Russell 1000 Equal Weight ETF | EQAL | $27.950 | $34.200 | 0.65% | 190.00 | $5,310.500 | 5.31% |
| Olympic Steel, Inc. | ZEUS | $9.290 | -$41.600 | -1.38% | 320.00 | $2,972.800 | 2.97% |
| PepsiCo, Inc. | PEP | $131.670 | $56.250 | 0.57% | 75.00 | $9,875.250 | 9.87% |
| UnitedHealth Group Inc | UNH | $293.040 | $495.000 | 1.91% | 90.00 | $26,373.600 | 26.36% |
| Universal Stainless & Alloy Products | USAP | $7.200 | $23.650 | 1.55% | 215.00 | $1,548.000 | 1.55% |
| Vanguard European Stock Index Fund Investor Shares | VEURX | -- | -- | -- | 360.00 | -- | -- |
| Vanguard Real Estate Index Fund ETF Shares | VNQ | $73.540 | $23.400 | 0.49% | 65.00 | $4,780.100 | 4.78% |
| Walgreens Boots Alliance Inc | WBA | $42.020 | -$66.650 | -1.01% | 155.00 | $6,513.100 | 6.51% |
| Zumiez Inc. | ZUMZ | $19.940 | -$70.000 | -1.97% | 175.00 | $3,489.500 | 3.49% |
| CASH | $CASH | $1.000 | -- | -- | 626.750 | $626.750 | 0.63% |
| **My Portfolio** | | | **$238.700** | **0.239%** | | **$100,066.450** | **100.00%** |

+ Add Holdings



# A+ Stock, Mutual Fund & ETF Grades



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Portfolio | News | Grades | Diversification Analyzer | Insights

### Stock Grades

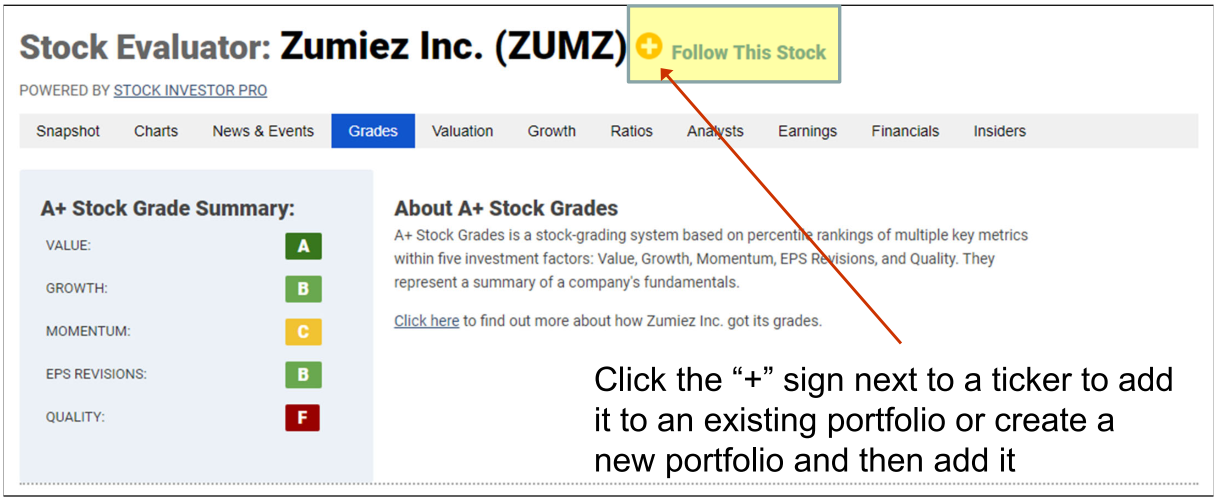
| Name | Ticker | Value | Growth | Momentum | EPS Revisions | Quality | Sector |
|---|---|---|---|---|---|---|---|
| Burlington Stores Inc | BURL | D | B | B | C | B | Consumer Cyclicals |
| Cracker Barrel Old Country Store, Inc. | CBRL | C | C | D | F | B | Consumer Cyclicals |
| Discover Financial Services | DFS | A | C | F | D | B | Financials |
| Olympic Steel, Inc. | ZEUS | A | D ▼ | C | C | C ▲ | Basic Materials |
| PepsiCo, Inc. | PEP | D | D | B | B | A | Consumer Non-Cyclicals |
| UnitedHealth Group Inc | UNH | D | B | A | B | B | Healthcare |
| Universal Stainless & Alloy Products | USAP | B | D | D | F | F | Basic Materials |
| Walgreens Boots Alliance Inc | WBA | A | C | C | C | A | Consumer Non-Cyclicals |
| Zumiez Inc. | ZUMZ | A | B | B | C | F | Consumer Cyclicals |

### ETF Grades

| ETF Name | Ticker | 1-Yr Return | 3-Yr Return | 5-Yr Return | Expense Ratio | Category Risk | Category |
|---|---|---|---|---|---|---|---|
| Invesco Russell 1000 Equal Weight ETF | EQAL | F | D | C | B | C | Mid-Cap Blend |
| Vanguard Real Estate ETF | VNQ | A | B | B | A | B | Real Estate |

### Mutual Fund Grades

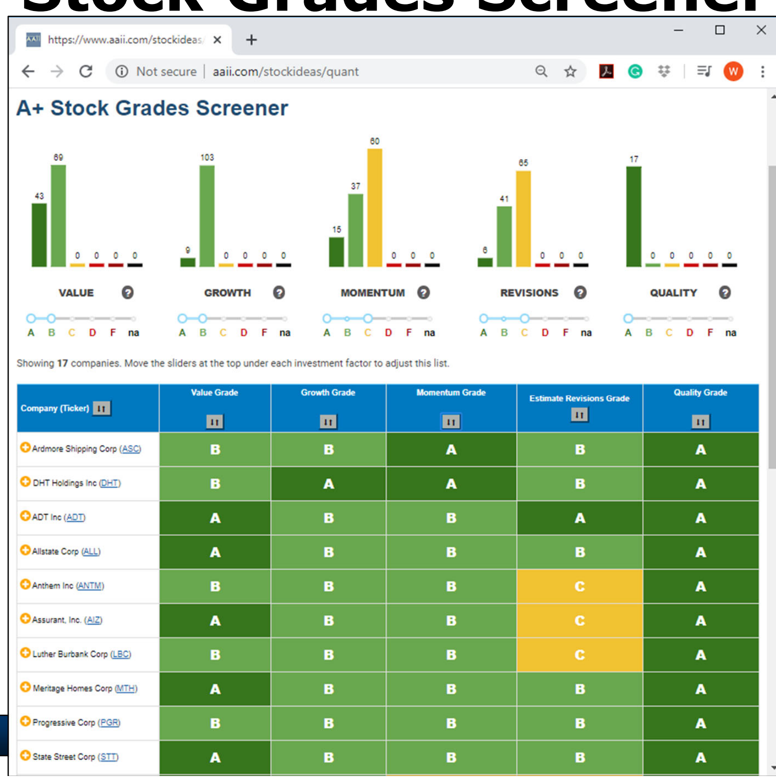
| Fund Name | Ticker | 1-Yr Return | 3-Yr Return | 5-Yr Return | Expense Ratio | Category Risk | Category |
|---|---|---|---|---|---|---|---|
| Vanguard European Stock Index Investor | VEURX | D | C | C | A | C | Europe Stock |



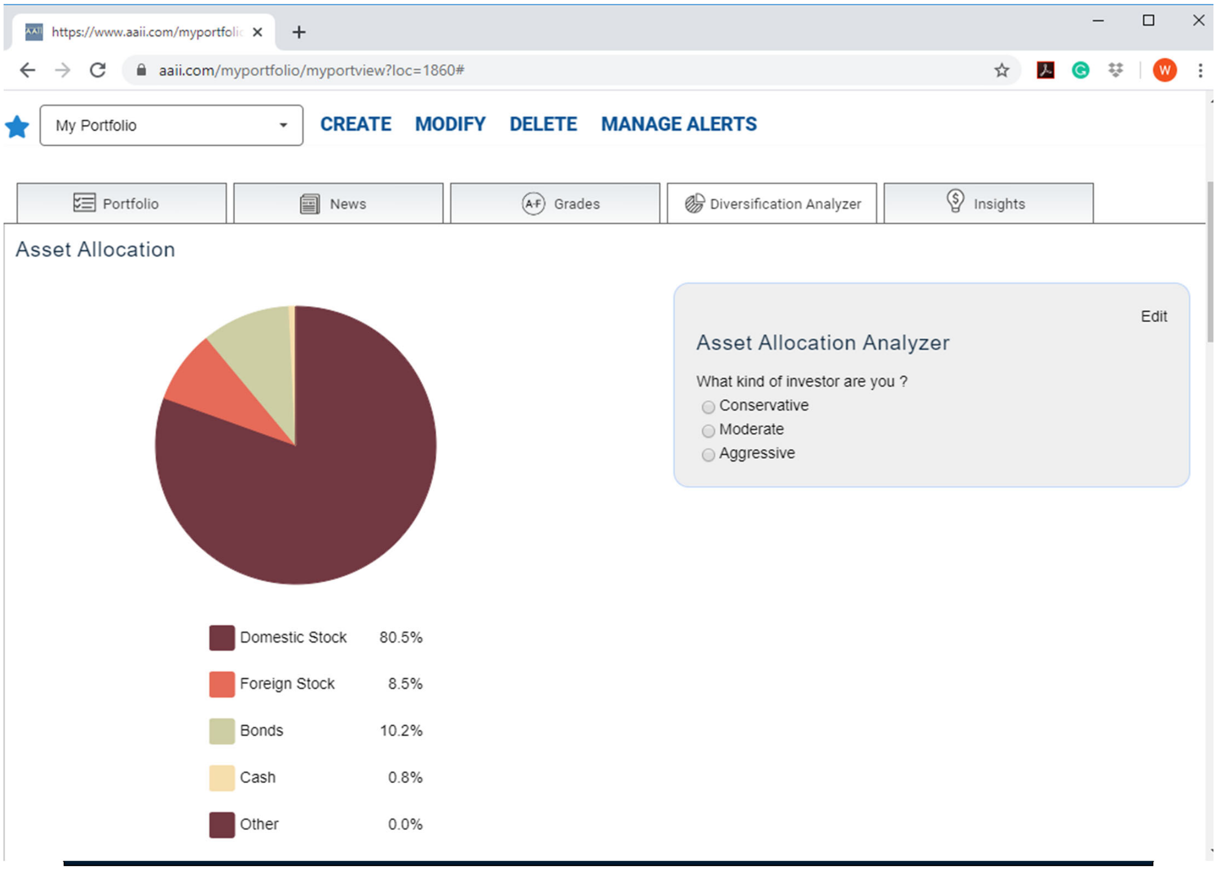

Click the “+” sign next to a ticker to add it to an existing portfolio or create a new portfolio and then add it



# Stock Grades Screener




| Company (Ticker) | Value Grade | Growth Grade | Momentum Grade | Estimate Revisions Grade | Quality Grade |
|---|---|---|---|---|---|
| Ardmore Shipping Corp (ASC) | B | B | A | B | A |
| DHT Holdings Inc (DHT) | B | A | A | B | A |
| ADT Inc (ADT) | A | B | B | A | A |
| Allstate Corp (ALL) | A | B | B | B | A |
| Anthem Inc (ANTM) | B | B | B | C | A |
| Assurant, Inc. (AIZ) | A | B | B | C | A |
| Luther Burbank Corp (LBC) | B | B | B | C | A |
| Meritage Homes Corp (MTH) | A | B | B | B | A |
| Progressive Corp (PGR) | B | B | B | B | A |
| State Street Corp (STT) | A | B | B | B | A |



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My Portfolio

CREATE MODIFY DELETE MANAGE ALERTS

Portfolio | News | Grades | Diversification Analyzer | Insights

## Asset Allocation

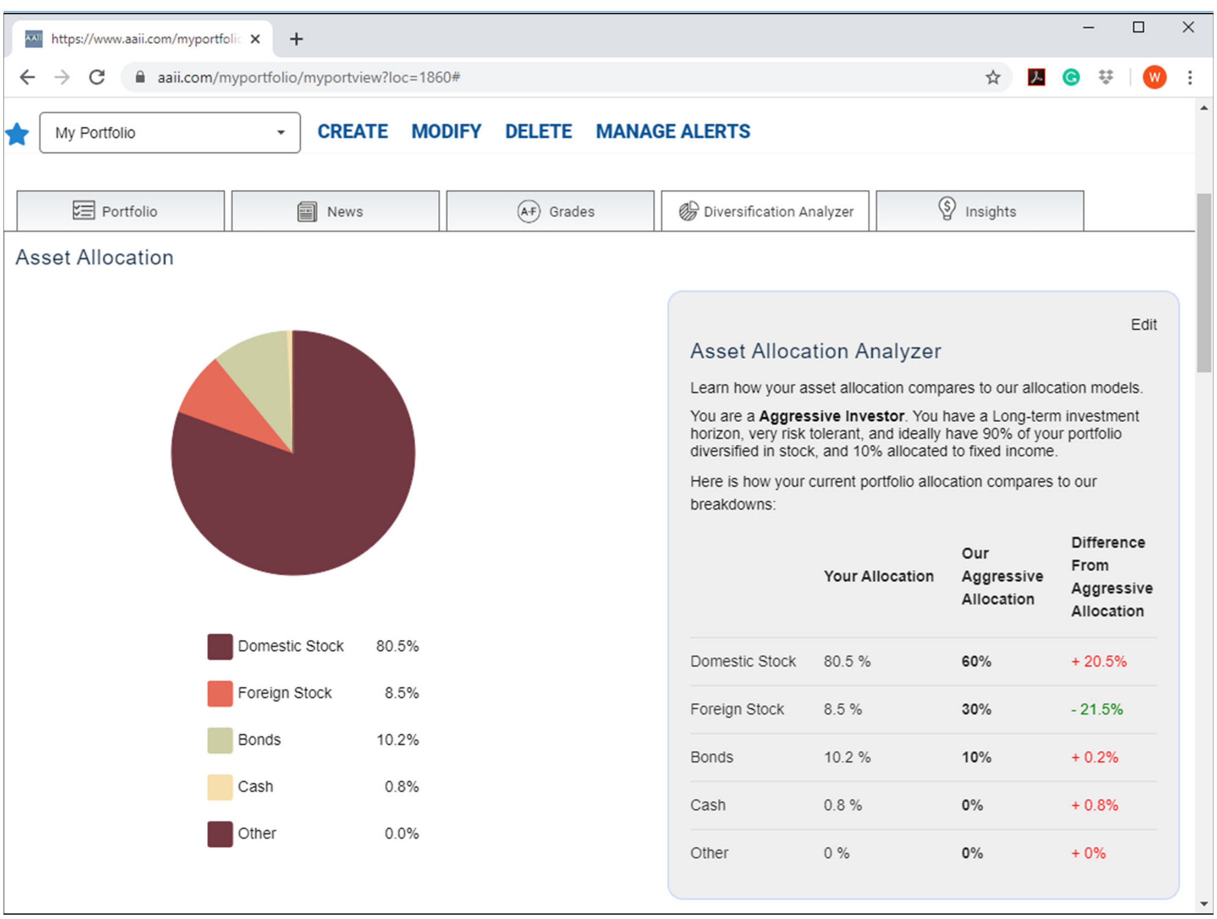
| | |
|---|---|
| Domestic Stock | 80.5% |
| Foreign Stock | 8.5% |
| Bonds | 10.2% |
| Cash | 0.8% |
| Other | 0.0% |

Edit

### Asset Allocation Analyzer

What kind of investor are you ?

- Conservative
- Moderate
- Aggressive



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| | |
|---|---|
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| Bonds | 10.2% |
| Cash | 0.8% |
| Other | 0.0% |

Edit

### Asset Allocation Analyzer

Learn how your asset allocation compares to our allocation models.

You are a **Aggressive Investor**. You have a Long-term investment horizon, very risk tolerant, and ideally have 90% of your portfolio diversified in stock, and 10% allocated to fixed income.

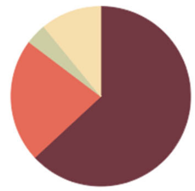
Here is how your current portfolio allocation compares to our breakdowns:

| | Your Allocation | Our Aggressive Allocation | Difference From Aggressive Allocation |
|---|---|---|---|
| Domestic Stock | 80.5 % | **60%** | + 20.5% |
| Foreign Stock | 8.5 % | **30%** | - 21.5% |
| Bonds | 10.2 % | **10%** | + 0.2% |
| Cash | 0.8 % | **0%** | + 0.8% |
| Other | 0 % | **0%** | + 0% |

Sector Diversification

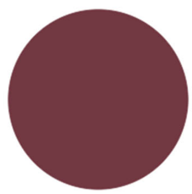
| | |
|---|---|
| Basic Materials | 5.6% |
| Consumer Cyclical | 23.5% |
| Financial Services | 4.6% |
| Real Estate | 5.9% |
| Communications Services | 0.8% |
| Energy | 1.1% |
| Industrials | 2.1% |
| Technology | 1.3% |
| Consumer Defensive | 21.2% |
| Healthcare | 32.8% |
| Utilities | 1% |

Size Diversification



| | |
|---|---|
| Large-Cap | 63.1% |
| Mid-Cap | 22.2% |
| Small-Cap | 3.8% |
| Micro-Cap | 10.9% |

Geographical Diversification



Domestic 100.0%

- Sector Diversification covers all stocks, mutual funds and ETFs in selected portfolio
- Size & Geographical Diversification ONLY covers stock holdings in selected portfolio

# Portfolio Insights

My Portfolio at a Glance

**12** Securities Currently Following

**5** Stocks Currently Pass a Stocks+ Screen

**21 of 105** individual grades of your stocks are a B or greater

**2** Fund/ETF Insights

Summary for My Portfolio

The Dow Jones industrial average has decreased by 0.8% since the close on 04/09/2020. Remember that short-term market fluctuations can be misleading; adopting a long-term perspective is essential to greater success. Your best-performing security over the last 10 years is **UnitedHealth Group Inc (UNH)**, which has an annualized price gain (excluding dividends) of 26.2%.

Stock Insights

5 of your 12 holdings currently pass a Stocks+ screen. Have a look at the list of strategies below and learn about the techniques behind them.

| Ticker & Matching Strategy | 10-Year Return |
|---|---|
| BURL | na |
| Est Rev: Down 5% Screen | -1.7% |
| CBRL | 5.8% |
| Est Rev: Down 5% Screen | -1.7% |
| DFS | 8.9% |
| SSR Group 4 | na |
| Neff Screen | 2.6% |
| UNH | 26.2% |
| Value on the Move--PEG With Hist Growth Screen | 6.8% |
| O'Shaughnessy: Growth Screen II | 4.6% |
| O'Shaughnessy: Growth Market Leaders Screen | 7.5% |
| WBA | 3.0% |
| Dogs of the Dow: Low Priced 5 Screen | 8.4% |
| Dogs of the Dow Screen | 7.5% |
| DI | na |
| Dividend (High Relative Yield) Screen | 5.6% |

ETF Insights

- 1 of 2 of your ETFs have a lower expense ratio than normal within its category. (VNQ)

Mutual Fund Insights

- 1 of 1 of your funds have a lower expense ratio than normal within its category. (VEURX)



AAII AMERICAN ASSOCIATION of INDIVIDUAL INVESTORS®

# Weekly A+ Investor Portfolio Insights Email

## A+ Investor Portfolio Insights

Your Weekly Snapshot for Saturday, May 2, 2020

### My Holdings at a Glance (data as of 05-01-2020 )

| | | | | | |
|---|---|---|---|---|---|
| 1 | 104 | 49 | 24 | 2 | 2 |
| of your 46 stocks has had 2+ upgrades/ downgrades | of 230 individual grades of your stocks are a B or greater | of 230 individual grades of your stocks are a D or worse | stocks currently pass a Stocks+ Screen | ETF Insights | Mutual Fund Insights |
| View All | View All | View All | View All | View All | View All |

### Stock Standouts

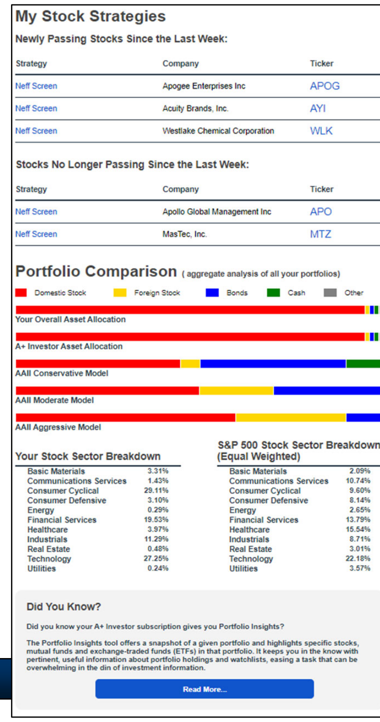
| Security | Ticker | Reason | Portfolios Held |
|---|---|---|---|
| Olympic Steel, Inc. | ZEUS | Your best-performing stock since last week's close (17.56%). | My Portfolio |
| Adobe Inc | ADBE | Has 4 of 5 factors with a grade of B or better. | SSR |
| Zoom Video Communications Inc | ZM | Your worst-performing stock since last week's close (-20.06%). | Test I |
| Universal Stainless & Alloy Products | USAP | Has 4 of 5 factors with a grade of D or lower. | My Portfolio |

### ETF Standouts

| Security | Ticker | Reason | Portfolios Held |
|---|---|---|---|
| Invesco Russell 1000 Equal Weight ETF | EQAL | Your best-performing ETF since last week's close (1.73%). | My Portfolio, Test I |
| Vanguard Mortgage-Backed Securities Index Fund ETF Shares | VMBS | Outperformed the category in 6 out of last 10 years with the best annual NAV performance of 6.17% in 2019 and worst annual NAV performance of -1.28% in 2013. | Vanguard |
| Vanguard Health Care Index Fund ETF Shares | VHT | Your worst-performing ETF since last week's close (-2.56%). | Vanguard |
| Invesco Russell 1000 Equal Weight ETF | EQAL | Underperformed the category in 4 out of last 10 years with the best annual NAV performance of 24.55% in 2019 and worst annual NAV performance of -8.91% in 2018. | Test I |

### Mutual Fund Standouts

| Security | Ticker | Reason | Portfolios Held |
|---|---|---|---|
| Vanguard Small Cap Value Index Fund Admiral Shares | VSIAX | Your best-performing FUND since last week's close (3.44%). | Vanguard |
| Vanguard Real Estate Index Fund Admiral Shares | VGSLX | Outperformed the category in 8 out of last 10 years with the best annual NAV performance of 30.32% in 2014 and worst annual NAV performance of -5.95% in 2018. | Vanguard |
| Vanguard Health Care Fund Investor Shares | VGHCX | Your worst-performing mutual FUND since last week's close (-2.87%). | Vanguard |
| Vanguard Emerging Markets Stock Index Fund Admiral Shares | VEMAX | Underperformed the category in 6 out of last 10 years with the best annual NAV performance of 31.38% in 2017 and worst annual NAV performance of -18.67% in 2011. | Vanguard |



### My Stock Strategies

**Newly Passing Stocks Since the Last Week:**

| Strategy | Company | Ticker |
|---|---|---|
| Neff Screen | Apogee Enterprises Inc | APOG |
| Neff Screen | Acuity Brands, Inc. | AYI |
| Neff Screen | Westlake Chemical Corporation | WLK |

**Stocks No Longer Passing Since the Last Week:**

| Strategy | Company | Ticker |
|---|---|---|
| Neff Screen | Apollo Global Management Inc | APO |
| Neff Screen | MasTec, Inc. | MTZ |

### Portfolio Comparison ( aggregate analysis of all your portfolios)

Domestic Stock · Foreign Stock · Bonds · Cash · Other

Your Overall Asset Allocation

A+ Investor Asset Allocation

AAII Conservative Model

AAII Moderate Model

AAII Aggressive Model

**Your Stock Sector Breakdown**

| | |
|---|---|
| Basic Materials | 3.31% |
| Communications Services | 1.43% |
| Consumer Cyclical | 29.11% |
| Consumer Defensive | 3.10% |
| Energy | 0.29% |
| Financial Services | 19.53% |
| Healthcare | 3.97% |
| Industrials | 11.29% |
| Real Estate | 0.48% |
| Technology | 27.25% |
| Utilities | 0.24% |

**S&P 500 Stock Sector Breakdown (Equal Weighted)**

| | |
|---|---|
| Basic Materials | 2.09% |
| Communications Services | 10.74% |
| Consumer Cyclical | 9.60% |
| Consumer Defensive | 8.14% |
| Energy | 2.65% |
| Financial Services | 13.79% |
| Healthcare | 15.54% |
| Industrials | 8.71% |
| Real Estate | 3.01% |
| Technology | 22.18% |
| Utilities | 3.57% |

**Did You Know?**

Did you know your A+ Investor subscription gives you Portfolio Insights?

The Portfolio Insights tool offers a snapshot of a given portfolio and highlights specific stocks, mutual funds and exchange-traded funds (ETFs) in that portfolio. It keeps you in the know with pertinent, useful information about portfolio holdings and watchlists, easing a task that can be overwhelming in the din of investment information.

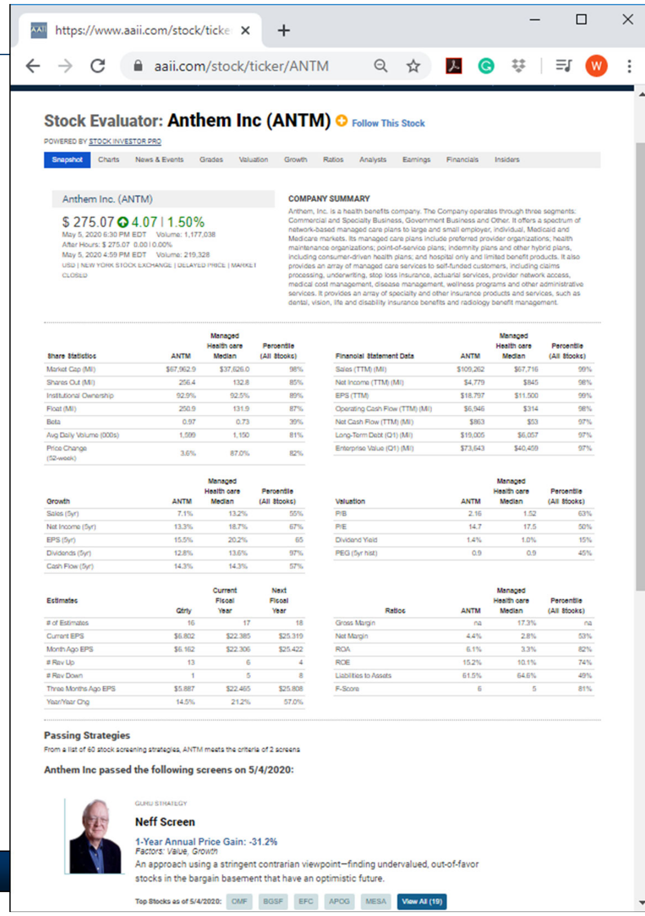
Read More...



# Evaluators

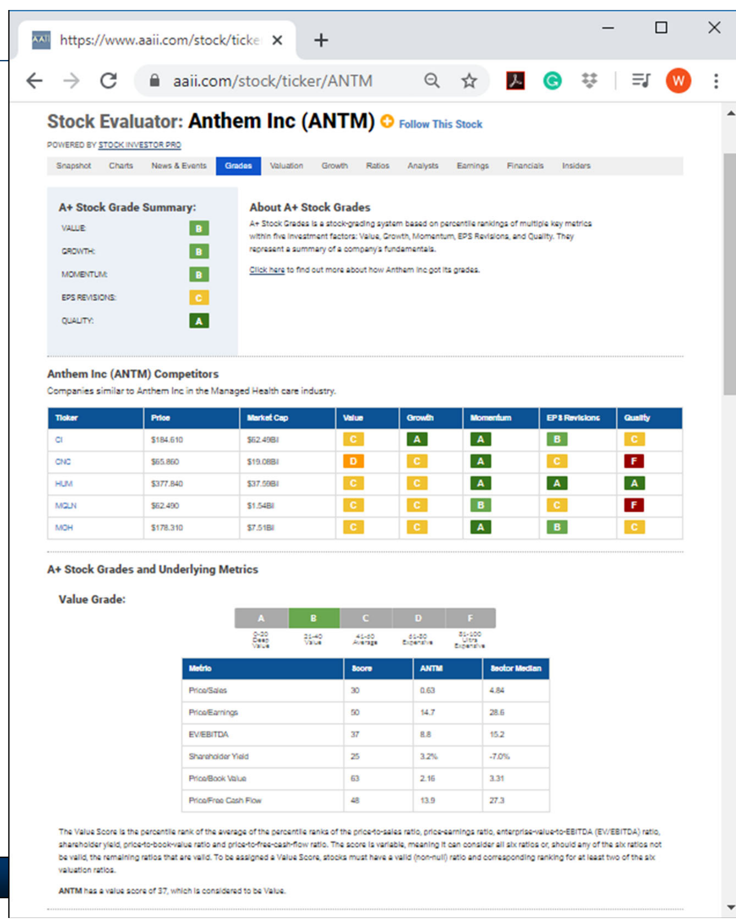
- In-depth data and analysis reports for stocks, mutual funds and exchange-traded funds (ETFs)
- Helps answer these key questions:
  - Is this an investment worth buying?
  - Is this investment I already hold worth keeping or should I sell?
- Proprietary ratings for stocks across multiple investment factors
- Fund & ETF ratings based on category comparisons
- Stock data updated daily; fund/ETF data monthly

# Stock Evaluator






# A+ Stock Grades



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**Growth Grade:**

| A | B | C | D | F |
|---|---|---|---|---|
| 81-100 Very Strong | 61-80 Strong | 41-60 Average | 21-40 Weak | 0-20 Very Weak |

| Metric | Score | ANTM | Sector Median |
|---|---|---|---|
| Sales Growth Qtrly YoY | 78 | 20.3% | 6.7% |
| EPS Dil Cont Growth Qtrly YoY | 47 | 0.5% | 13.3% |
| Operating Cash Growth Qtrly YoY | 75 | 54.3% | (7.6%) |
| Sales Growth Rate 5yr | 55 | 7.1% | 10.9% |
| EPS Dil Cont Growth Rate 5yr | 65 | 15.6% | 10.1% |
| Operating Cash Growth Rate 5yr | 67 | 12.5% | (7.1%) |

The foundation of growth investing is the notion that stocks of companies exhibiting strong, consistent and prolonged growth outperform those of slower-growth companies. Growth has several dimensions, including year-over-year increases in sales and earnings, long(er)-term historical sales and earnings growth rates and analyst-forecasted long-term earnings growth.

The components consider a company's success in growing its sales, earnings per share, and operating cash on a year-over-year basis for the latest reported fiscal quarter and on an annualized basis over the last five years.

**ANTM** has a growth score of 64, which is Strong.

**Momentum Grade:**

| A | B | C | D | F |
|---|---|---|---|---|
| 81-100 Very Strong | 61-80 Strong | 41-60 Average | 21-40 Weak | 0-20 Very Weak |

| Metric | Score | ANTM | Sector Median |
|---|---|---|---|
| Relative Price Strength (weighted 4 qtr) | 73 | 1.0% | (2.0%) |

The weighted four-quarter relative strength rank is the relative price change for each of the past four quarters. The most recent quarterly price change is given a weight of 40% and each of the three previous quarters given a weighting of 20%.

Momentum is based on the price change of a stock over a specified period relative to all other stocks. It is considered to be an anomaly in the analysis of stock returns because stocks with high relative levels of momentum tend to continue to outperform, while stocks with low relative levels of momentum tend to continue underperforming.

**ANTM** has a momentum score of 73, which is Strong.



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aaii.com/stock/ticker/ANTM

**Estimate Revisions Grade:**

| A | B | C | D | F |
|---|---|---|---|---|
| 81-100 Very Positive | 61-80 Positive | 41-60 Neutral | 21-40 Negative | 0-20 Very Negative |

| Metric | Score | ANTM | Sector Median |
|---|---|---|---|
| Quarterly Surprise SUE Latest Qtr | 34 | 0.0 | 0.0% |
| Quarterly Surprise SUE Prior Qtr | 29 | (0.1) | 0.2% |
| EPS Est Current Year % Rev Last Month | 87 | 0.4% | 0.0% |
| EPS Est Current Year % Rev 3 Mos | 78 | (0.4%) | (2.0%) |

Investing based on analyst estimates looks for revisions in the consensus estimates as well as earnings surprises (actual earnings deviating from the consensus estimate). Academic studies have shown that companies that have seen strong upward earnings revisions or have reported significant earnings surprises can see an impact on share prices for up to a year.

The components examine the magnitude of a company's earnings surprises for the last two reported fiscal quarters as well as the change in the consensus estimate for the current fiscal year over the last month and three months.

**ANTM** has a estimate revisions score of 57, which is Neutral.

**Quality Grade:**

| A | B | C | D | F |
|---|---|---|---|---|
| 81-100 Very Strong | 61-80 Strong | 41-60 Average | 21-40 Weak | 0-20 Very Weak |

| Metric | Passes |
|---|---|
| Accruals | ✓ |
| Asset Turnover Improvement | ✓ |
| Buyback Yield | ✓ |
| Dividend Growth | ✓ |
| Earnings Estimates | ✓ |

The Quality Score is a combination of five components used to assess the "quality" of a particular stock. A higher quality stock possesses traits associated with upside potential and reduced downside risk.

The components consider management's financial decisions (accruals and change in asset turnover), whether the interests of shareholders are being recognized (share buybacks and dividends) and whether analysts are more optimistic than they were previously about the stock (earnings estimate revisions).

Stocks receive better grades (higher scores) for passing more of the quality sub-components and worse grades (lower scores) for passing fewer of the quality sub-components.

**ANTM** has a quality score of 100, which is Very Strong.

# Fund Evaluator

## Fund Evaluator: Invesco Oppenheimer Gold & Spec Mnrl A (OPGSX)

Follow This Fund

Overview | Insights

| | | | |
|---|---|---|---|
| Equity<br>Global Asset Class | $22.400<br>NAV (as of May 04) | $1,112 Mil<br>Assets | 0.4%<br>TTM Yield |
| Sector Equity<br>Fund Group | $0.00<br>Minimum Initial | Open<br>Status | 0.00%<br>Max Load |
| Equity Precious Metals<br>Category | 1.17% B<br>Expense Ratio | 0.25%<br>12b-Fee | 35%<br>Portfolio Turnover |

**Best Performing in Equity Precious Metals Over the Last Year**

- 16.0% First Eagle Gold I (FEGIX)
- 16.0% First Eagle Gold R6 (FEURX)
- 15.7% First Eagle Gold R3 (EAURX)
- 15.7% First Eagle Gold A (SGGDX)
- 15.7% First Eagle Gold R4 (FIURX)

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| -16.2% F<br>1-Mo Return | -23.7% D<br>3-Mo Return | -23.7% D<br>YTD Return | 2.4% D<br>1-Yr Return | -0.1% B<br>3-Yr Return | 6.6% A<br>5-Yr Return | 0.95 A<br>Category Risk Index | 1.74<br>Total Risk Index |

### Fund Details

Fund Family
Invesco

Inception Date
7/19/1983

Share Class Type
A

Equity Investment Style
Mid-Cap Growth

Bond Investment Style
na

ESG Focus
No

Index Fund
No

Benchmark Index
MSCI World NR USD: 100%

### About OPGSX

Invesco Oppenheimer Gold & Spec Mnrl A (OPGSX), launched in 1983, has been managed by Shanquan Li since July 1, 1997, at Invesco. This fund tracks the MSCI World NR USD index, with a weighting of 100%.

Its expense ratio is high compared to funds in the Equity Precious Metals. OPGSX has an expense ratio of 1.17%, which is 15% lower than its category.

Based on NAV, the fund has returned 2.4% over the past year and -0.1% over the past three years, 6.6% per year over the past five years, and -5.2% per year over the past decade. Recently, in the month of March 2020, OPGSX returned -16.2%.

OPGSX has an R-squared of 16%, a beta of 0.60, and a standard deviation of 22.4%. It has a low total risk rating.



### OPGSX Trailing NAV Total Returns *Data as of 3/31/20*


| | Last Month | Last Quarter | Ann'l. 1Yr | Ann'l. 3Yr | Ann'l. 5Yr | Ann'l. 10Yr |
|---|---|---|---|---|---|---|
| OPGSX Return (NAV) | -16.2% | -23.7% | 2.4% | -0.1% | 6.6% | -5.2% |
| OPGSX Grade | F | D | D | B | A | C |
| +/- Category | -3.1% | -2.0% | -0.4% | 2.4% | 2.3% | 0.1% |
| Equity Precious Metals Avg | -13.1% | -21.7% | 2.8% | -2.4% | 4.3% | -5.3% |
| OPGSX Tax Cost Ratio | na | na | 0.1% | 0.4% | 0.8% | 0.9% |

### OPGSX Annual NAV Total Returns *Data as of 3/31/20*


| | 2020 | 2019 | 2018 | 2017 | 2016 | 2015 | 2014 | 2013 | 2012 | 2011 | 2010 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| OPGSX Return (NAV) | -23.7% | 46.4% | -13.2% | 17.2% | 48.7% | -23.1% | -15.4% | -47.8% | -9.1% | -25.7% | 54.5% |
| OPGSX Grade | D | B | B | A | C | C | F | B | D | F | A |
| +/- Category | -2.0% | 5.1% | 1.8% | 8.3% | -2.2% | -0.3% | -6.4% | 0.9% | -0.3% | -6.2% | 12.6% |
| Equity Precious Metals Growth Avg | -21.7% | 41.2% | -14.9% | 8.9% | 51.0% | -22.8% | -9.0% | -48.7% | -8.8% | -19.5% | 41.9% |

## Risk Measures

| | |
|---|---|
| Standard Deviation: | 22.4% |
| Total Risk Index: | 1.74 - Low |
| Category Risk Index: | 0.95 - Low |
| Category Risk Grade: | A (20% Rank) |
| Beta: | 0.60 |
| R-Squared: | 16% |
| Leveraged Fund: | No |
| Inverse Fund: | No |

## Portfolio Characteristics

| | |
|---|---|
| Equity Investment Style: | Mid-Cap Growth |
| Bond Investment Style: | na |
| Yield: | 0.4% |
| Dividend Distribution: | Annually |
| Cap Gains Distribution: | Annually |
| Total Assets: | $1,112 Mil |
| Fund Total Assets: | $ 549 Mil |
| Share Class Type: | A |
| Portfolio Turnover: | 35% |

## Portfolio Manager

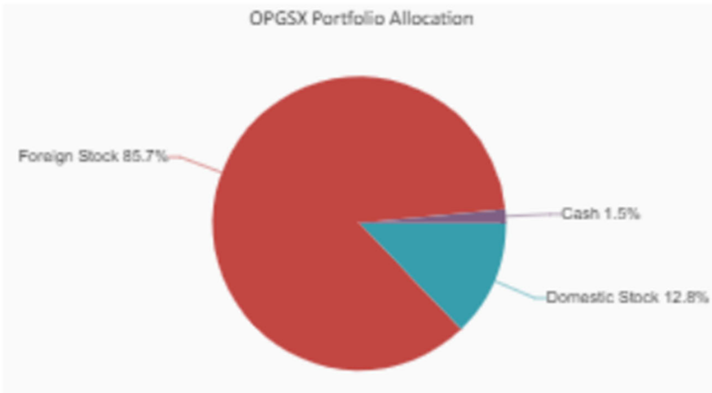
| | |
|---|---|
| Managers (Year): | Li Shanquan (1997) |
| Senior Manager Tenure: | 22.76 years |

## Portfolio Composition (as of 12/31/19)

| | |
|---|---|
| # of Holdings: | 107 |
| % in Top 10 Holdings: | 43.0% |
| Fund is Non-Diversified: | Yes |
| % in Foreign Issues: | 85.7% |

### Portfolio Allocation

| | |
|---|---|
| Domestic Stock: | 12.8% |
| Foreign Stock: | 85.7% |
| Preferred Stock: | 0.0% |
| Domestic Bond: | 0.0% |
| Foreign Bond: | 0.0% |
| Convertible Bond: | 0.0% |
| Other: | 0.0% |
| Cash: | 1.5% |




## Purchase Information

| | |
|---|---|
| Fund Family: | Invesco |
| Phone Number: | 800-959-4246 |
| Website: | www.invesco.com |

| | |
|---|---|
| Status: | Open |
| Inception Date: | July 19, 1983 |
| Min. Initial Purchase: | $ 0 |
| Min. Initial IRA Purchase: | $ 0 |
| True No-Load: | No |
| Front Load Maximum: | 0.00% |
| Deferred Charge Maximum: | 0.00% |
| Redemption Charge Maximum: | 0.00% |
| 12b-1 Fee: | 0.25% |
| Expense Ratio: | 1.17% |
| Category Expense Grade: | B ( Below Avg within category) |

| | |
|---|---|
| Share Class: | Invesco Oppenheimer Gold & Spec Mnrl A |
| Min. Subsequent Purchase: | $ 0 |
| Min. Subsequent IRA Purchase: | $ 0 |

# ETF Evaluator

## ETF Evaluator: AdvisorShares Vice ETF (ACT)

Follow This ETF

Overview | Insights

| | | |
|---|---|---|
| Equity<br>Global Asset Class | $21.591<br>Last Trade<br>(as of May 05) | $25.860 - $16.160<br>52-Wk High-Low Range |
| Sector Equity<br>Fund Group | $ 9 Mil<br>Assets | 0.99% F<br>Expense Ratio |
| Miscellaneous Sector<br>Category | 3.2%<br>TTM Yield | na<br>Portfolio Turnover |

**Best Performing in Miscellaneous Sector Over the Last Year**

- 9.3% Invesco Solar ETF (TAN)
- 2.6% Invesco WilderHill Clean Energy ETF (PBW)
- 1.4% First Trust NASDAQ® Cln Edge® GrnEngyETF (QCLN)
- 0.1% iShares Global Clean Energy ETF (ICLN)
- -0.5% First Trust NASDAQ® Cln Edge®StGidIfsETF (GRID)

| 1-Mo Return | 3-Mo Return | YTD Return | 1-Yr Return | 3-Yr Return | 5-Yr Return | Category Risk Index | Total Risk Index |
|---|---|---|---|---|---|---|---|
| -15.5% B (NAV) | -23.9% D (NAV) | -23.9% D (NAV) | -23.0% F (NAV) | (NAV)na | (NAV)na | na | na |
| -15.6% C (Price) | -24.2% D (Price) | -24.2% D (Price) | -23.5% F (Price) | (Price)na | (Price)na | | |

### ETF Details

Fund Family
AdvisorShares

Inception Date
12/11/2017

Website
www.advisorshares.com

Phone
877-843-3831

Index Tracked
S&P 500 TR USD: 100%

### About ACT

AdvisorShares Vice ETF (ACT), launched in 2017, has been managed by Robert Parker since December 11, 2017, at AdvisorShares. This fund tracks the S&P 500 TR USD index, with a weighting of 100%.

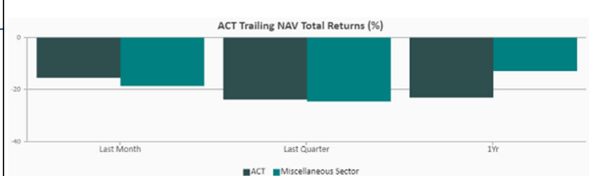
Its expense ratio is high compared to funds in the Miscellaneous Sector category. ACT has an expense ratio of 0.99%, which is 34% higher than its category.

The fund has returned -23.0% over the past year. Recently, in the month of March 2020, ACT returned -15.5%.



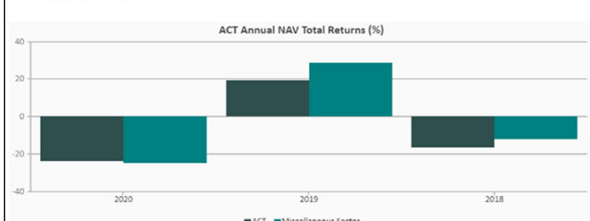


**ACT Trailing NAV Total Returns** *Data as of 3/31/20*




| | Last Month | Last Quarter | Ann'l. 1Yr | Ann'l. 3Yr | Ann'l. 5Yr | Ann'l. 10Yr |
|---|---|---|---|---|---|---|
| ACT Return (NAV) | -15.5% | -23.9% | -23.0% | na | na | na |
| ACT Return (Price) | -15.6% | -24.2% | -23.5% | na | na | na |
| NAV +/- Price Return | 0.112% | 0.293% | 0.491% | na | na | na |
| Miscellaneous Sector Avg | -18.6% | -24.7% | -12.9% | 1.1% | 1.8% | 0.7% |
| ACT Grade (NAV) | B | D | F | na | na | na |
| +/- Category (NAV) | 3.1% | 0.8% | -10.1% | na | na | na |
| ACT Tax-Cost Ratio | na | na | 1.0% | na | na | na |

**ACT Annual NAV Total Returns** *Data as of 3/31/20*



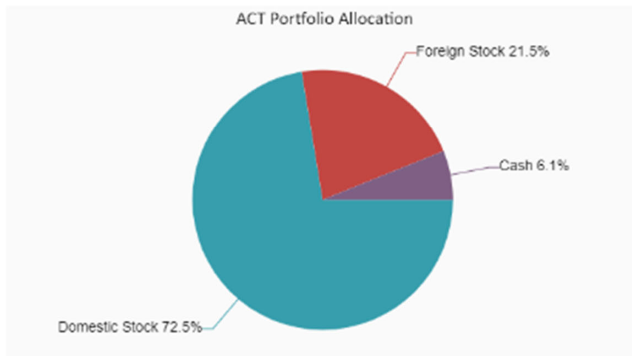

| | 2020 | 2019 | 2018 | 2017 | 2016 | 2015 | 2014 | 2013 | 2012 | 2011 | 2010 |
|---|---|---|---|---|---|---|---|---|---|---|---|
| ACT Return (NAV) | -23.9% | 19.7% | -16.3% | na | na | na | na | na | na | na | na |
| ACT Return (Price) | -24.2% | 20.0% | -16.9% | na | na | na | na | na | na | na | na |
| NAV +/- Price Ret | 0.012% | 0.016% | 0.040% | na | na | na | na | na | na | na | na |
| Miscellaneous Sector Avg | -24.7% | 28.8% | -12.1% | 28.9% | 8.8% | -6.1% | -2.8% | 44.9% | 1.1% | -29.9% | -0.7% |
| ACT Grade (NAV) | D | D | D | na | na | na | na | na | na | na | na |
| +/- Category | 0.8% | -9.1% | -4.2% | na | na | na | na | na | na | na | na |

## Portfolio Statistics

| | | | |
|---|---|---|---|
| Yield: | 3.2% | Senior Manager Name(s): | Parker Robert (2017), Ahrens Dan (2017) |
| Total Assets: | $ 9 Mil | Senior Manager Tenure: | 2.30 years |
| Turnover: | 0.0% | Inception Date: | December 11, 2017 |
| Expense Ratio: | 0.99% | | |
| Index Fund: | No | | |
| Index Tracked: | S&P 500 TR USD | | |
| Index Weighting: | 100% | | |
| Leveraged: | No | | |
| Socially Responsible Fund: | No | | |
| Capital Gains Distribution Frequency: | | | |
| Dividend Distribution Frequency: | Annually | | |

## Portfolio Composition (as of 3/31/20)

| | |
|---|---|
| # of Holdings: | 31 |
| % in Top 10 Holdings: | 55.6% |
| % in Foreign Issues: | 21.5% |




### Portfolio Allocation

| | |
|---|---|
| Domestic Stock: | 72.5% |
| Foreign Stock: | 21.5% |
| Preferred Stock: | 0.0% |
| Domestic Bond: | 0.0% |
| Foreign Bond: | 0.0% |
| Convertible Bond: | 0.0% |
| Other: | 0.0% |
| Cash: | 6.1% |



## Purchase Information

| | |
|---|---|
| Legal Structure: | Open Ended Investment Company |
| Fund Family: | AdvisorShares |
| Phone Number: | 877-843-3831 |
| Website: | www.advisorshares.com |
| Inception Date: | December 11, 2017 |

## Expenses and Fees

| | |
|---|---|
| Expense Ratio (%): | 0.99% (Rating: High within category) |
| Category Average Expense Ratio (%): | 0.74% |

# Stock Screens

- A+ Investor service includes access to daily passing companies for ~60 stock screening strategies
  - Tag "favorite" strategies for easy tracking
- Strategy Power Rankings
- See whether a specific stock passes any of the AAII screening strategies
- *Also accessible to* Stock Investor Pro *subscribers*
- ALL AAII members have access to monthly listings & updates for ALL strategies



# All Screens Listing

## All Screens

Performance Data as of 4/30/2020

Performance | Characteristics

Download Performance History

**Ordered By: Strategy**

Excel | Print

| | Screen | Factors: V | G | M | S | EE | Y | Q | I | O | Annual Price Gain (%): 2020 YTD | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Inc. | Risk Index |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| ☆ | ADR Screen | V | | M | | | | | | | -26.1 | -34.1 | -7.1 | -0.8 | 5.1 | 6.8 | 1.47 |
| ☆ | Buffett: Hagstrom Screen | V | G | | | | | Q | | | -9.9 | -5.0 | 0.6 | 3.6 | 10.2 | 12.3 | 1.12 |
| ☆ | Buffettology: EPS Growth | | G | | | | | Q | | | -11.6 | -3.2 | 0.7 | 6.7 | 10.1 | 9.9 | 1.23 |
| ☆ | Buffettology: Sustainable Growth Screen | | G | | | | | Q | | | -5.0 | 12.8 | 4.8 | 11.2 | 10.2 | 11.0 | 1.29 |
| ☆ | | V | | | | | | Q | | | -20.3 | -28.6 | -5.6 | -2.5 | -0.3 | 7.6 | 1.38 |
| ☆ | | V | G | | | | Y | | | | -27.3 | -21.6 | -3.9 | 0.7 | 6.5 | 7.1 | 1.06 |
| ☆ | Dogs of [illegible] Dow Screen | V | | | | | Y | | | | -13.8 | -11.2 | -0.4 | 3.6 | 8.6 | 3.2 | 1.17 |
| ☆ | Dog[illegible]f the Dow: Low Priced 5 Screen | V | | | | | Y | | | | -17.6 | -16.2 | -1.7 | 2.1 | 9.6 | 2.6 | 1.47 |
| ☆ | [illegible]reman Screen | V | G | | | | | | | | -32.7 | -27.4 | -8.2 | -3.1 | 3.7 | 7.7 | 1.29 |
| ★ | Dreman With Est Revisions Screen | V | G | | | EE | | | | | -24.5 | -12.0 | -1.8 | 2.4 | 11.0 | 13.1 | 1.48 |

Click on a star icon next to a stock screen at AAII.com to "favorite" that screen

# Factor Strategies

https://www.aaii.com/stockideas/ ×
aaii.com/stockideas/factors

## Factor Screens

Factor investing chooses stocks based on attributes that have been shown to generate high returns. These attributes have come to be called factors and include small stocks, low valuation, high price momentum and high yield. AAII has developed several screens base on these common investment factors.

Performance Data as of 4/30/2020

Performance | Characteristics

**Ordered By: Strategy**

Excel | Print

| | Factor Strategy | Factors | | | | | | | | | Annual Price Gain (%) | | | | | | Risk Index |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | V | G | M | S | EE | Y | Q | I | O | 2020 YTD | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Inc. | |
| ☆ | ADR Screen | V | | M | | | | | | | -26.1 | -34.1 | -7.1 | -0.8 | 5.1 | 6.8 | 1.47 |
| ☆ | Cash Rich Firms Screen | V | | | | | | Q | | | -20.3 | -28.6 | -5.6 | -2.5 | -0.3 | 7.6 | 1.38 |
| ☆ | Dividend (High Relative Yield) Screen | V | G | | | | Y | | | | -27.3 | -21.6 | -3.9 | 0.7 | 6.5 | 7.1 | 1.06 |
| ☆ | Dogs of the Dow Screen | V | | | | | Y | | | | -13.8 | -11.2 | -0.4 | 3.6 | 8.6 | 3.2 | 1.17 |
| ☆ | Dogs of the Dow: Low Priced 5 Screen | V | | | | | Y | | | | -17.6 | -16.2 | -1.7 | 2.1 | 9.6 | 2.6 | 1.47 |
| ☆ | Dual Cash Flow Screen | | G | | | | | Q | | | -14.7 | -11.0 | -5.6 | -3.9 | 0.4 | 9.5 | 1.57 |
| ☆ | Est Rev: Down 5% Screen | | | | | EE | | | | | -19.7 | -26.8 | -3.3 | -1.1 | -0.6 | 0.2 | 1.93 |

# Guru Strategies

https://www.aaii.com/stockideas/ ×
aaii.com/stockideas/gurus

## Guru Screens

AAII has developed 42 different stock selection strategies based on the investment philosopshies of such investing "gurus" as Warren Buffett, Benjamin Graham, Kenneth Fischer and William O'Neil.

Performance Data as of 4/30/2020

Performance | Characteristics

**Ordered By: Annual Price Gain 1 Year**

Excel | Print

| | Guru Strategy | Guru | Factors | | | | | | | | | Annual Price Gain (%) | | | | | | Risk Index |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | V | G | M | S | EE | Y | Q | I | O | 2020 YTD | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Inc. | |
| ☆ | Rule #1 Investing Screen | Phil Town | V | G | | | | | Q | | | 44.3 | 58.0 | 19.2 | -1.6 | 10.5 | 10.6 | 2.12 |
| ☆ | Inve$tWare Quality Growth Screen | Ellis Traub | | G | | | | | | | | 33.5 | 30.9 | 16.2 | 6.7 | 10.6 | 7.9 | 1.30 |
| ☆ | Fisher (Philip) Screen | Philip Fisher | V | G | | | | | | | | 26.4 | 12.6 | 16.9 | 9.2 | 1.5 | 6.2 | 2.22 |
| ☆ | Oberweis Octagon Screen | Jim Oberweis | V | G | M | | | | | | | 7.7 | 20.6 | -3.3 | 1.1 | 4.8 | 10.0 | 1.90 |
| ★ | O'Neil's CAN SLIM Screen | William O'Neil | | G | M | | | | | | | 5.5 | -8.5 | -5.0 | -2.6 | 7.1 | 18.1 | 1.83 |
| ☆ | Schloss Screen | Walter Schloss | V | | | | | | Q | | | 2.5 | -21.0 | 1.8 | -8.7 | -4.1 | 5.6 | 1.97 |

https://www.aaii.com/stockideas/

aaii.com/stockideas/myscreens

# My Screens

As of April 30, 2020, 0 out of 3 strategies you are following have a 1-year annual gain greater than the S&P 500's gain of -1.4%. 20 companies have passed these screens today.

Stocks Passing My Screens | Grades | Performance | My Stocks Passing Any Screens | Stocks Passing Multiple Screens

Data as of 5/4/2020

| Strategy | P/E Ratio (X) | Price to EPS Est. Growth (X) | Price-to-Book Value Ratio (X) | Price-to-Sales Ratio (X) | Dividend Yield (%) | Hist. EPS Growth (%) | Est. Long-Term EPS Growth (%) | Market Cap ($ Mil) | 52-Week Relative Strength (%) | Monthly Holdings Avg # | Monthly Holdings Turnover % |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Dreman With Est Revisions Screen | 5.8 | 0.2 | 1.1 | 1.0 | 1.7 | 58.8 | 20.7 | 2,244.3 | 25.7 | 6 | 69.6 |
| Neff Screen | 9.7 | 0.8 | 1.6 | 0.8 | 3.3 | 19.9 | 12.9 | 3,264.1 | -22.5 | 13 | 30.8 |
| Acuity Brands, Inc. (AYI) | 11.0 | 1.1 | 1.6 | 0.9 | 0.6 | 15.3 | 10.0 | 3,264.1 | -41.1 | - | - |
| Alexion Pharmaceuticals, Inc. (ALXN) | 9.7 | 0.7 | 2.0 | 4.6 | na | 26.8 | 13.1 | 23,078.4 | -21.0 | - | - |
| Anthem Inc (ANTM) | 14.7 | 0.8 | 2.2 | 0.6 | 1.4 | 15.6 | 14.3 | 67,962.9 | 7.7 | - | - |
| Apogee Enterprises Inc (APOG) | 8.4 | 0.6 | 1.0 | 0.4 | 3.9 | 6.1 | 15.0 | 511.0 | -50.8 | - | - |
| Applied Materials, Inc. (AMAT) | 15.7 | 0.7 | 5.0 | 2.9 | 1.8 | 26.7 | 19.1 | 43,059.3 | 12.6 | - | - |
| Berry Global Group Inc (BERY) | 13.6 | 0.7 | 3.4 | 0.5 | na | 42.5 | 15.5 | 5,431.0 | -19.6 | - | - |
| BG Staffing Inc (BGSF) | 8.6 | 0.5 | 1.7 | 0.4 | 10.8 | 79.9 | 20.0 | 120.0 | -48.8 | - | - |
| Boot Barn Holdings Inc (BOOT) | 10.3 | 0.7 | 1.6 | 0.6 | na | 44.4 | 15.0 | 519.0 | -39.5 | - | - |
| British American Tobacco PLC (ADR) (BTI) | 12.1 | 1.3 | 1.1 | 2.6 | 7.1 | 8.2 | 7.3 | 85,090.9 | 1.8 | - | - |
| Columbus McKinnon Corp. (CMCO) | 8.5 | 0.5 | 1.2 | 0.7 | 1.0 | 2.6 | 20.0 | 620.2 | -34.3 | - | - |
| D. R. Horton Inc (DHI) | 9.1 | 1.0 | 1.6 | 0.9 | 1.5 | 24.1 | 9.9 | 16,919.1 | 8.7 | - | - |
| Discovery Inc (DISCA) | 7.6 | 0.6 | 1.2 | 1.0 | na | 11.7 | 11.5 | 10,491.8 | -22.5 | - | - |
| Ellington Financial Inc (EFC) | 5.7 | 2.0 | 0.5 | 2.3 | 9.7 | -4.0 | 10.0 | 439.7 | -42.8 | - | - |

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aaii.com/stockideas/myscreens

# My Screens

As of April 30, 2020, 0 out of 3 strategies you are following have a 1-year annual gain greater than the S&P 500's gain of -1.4%. 20 companies have passed these screens today.

Stocks Passing My Screens | Grades | Performance | My Stocks Passing Any Screens | Stocks Passing Multiple Screens

Data as of 5/4/2020

| Strategy | Value | Momentum | Quality | Growth | Revision |
|---|---|---|---|---|---|
| Dreman With Est Revisions Screen | B | B | B | B | A |
| PennyMac Financial Services Inc (PFSI) | B | B | B | B | A |
| Neff Screen | B | C | C | B | C |
| Alexion Pharmaceuticals, Inc. (ALXN) | C | B | C | A | B |
| Applied Materials, Inc. (AMAT) | C | B | B | B | B |
| Anthem Inc (ANTM) | B | B | A | B | C |
| Apogee Enterprises Inc (APOG) | A | F | B | B | C |
| Acuity Brands, Inc. (AYI) | B | D | F | C | D |
| Berry Global Group Inc (BERY) | C | B | C | B | B |
| BG Staffing Inc (BGSF) | B | D | F | C | C |
| Boot Barn Holdings Inc (BOOT) | C | D | F | B | B |
| British American Tobacco PLC (ADR) (BTI) | C | B | C | B | C |
| Columbus McKinnon Corp. (CMCO) | B | D | B | C | B |

https://www.aaii.com/stockideas/
aaii.com/stockideas/myscreens

# My Screens

As of April 30, 2020, 0 out of 3 strategies you are following have a 1-year annual gain greater than the S&P 500's gain of -1.4%. 20 companies have passed these screens today.

Stocks Passing My Screens | Grades | Performance | My Stocks Passing Any Screens | Stocks Passing Multiple Screens

Data as of 5/4/2020

| Company (Ticker) | # of Screens Passed | Screens Passed | # of Portfolio Held | Portfolio Held |
|---|---|---|---|---|
| Copart, Inc. (CPRT) | 4 | Buffettology: EPS Growth, Buffettology: Sustainable Growth Screen, Est Rev: Down 5% Screen, Value on the Move–PEG With Hist Growth Screen, | 1 | SSR, |
| Adobe Inc (ADBE) | 3 | Buffettology: EPS Growth, Inve$tWare Quality Growth Screen, O'Neil's CAN SLIM No Float Screen, | 1 | SSR, |
| D. R. Horton Inc (DHI) | 3 | Neff Screen, O'Shaughnessy: Growth Market Leaders Screen, Templeton Screen, | 1 | SSR, |
| Pfizer Inc. (PFE) | 3 | Dogs of the Dow Screen, Dogs of the Dow: Low Priced 5 Screen, Value on the Move–PEG With Hist Growth Screen, | 1 | SSR, |
| UnitedHealth Group Inc (UNH) | 3 | O'Shaughnessy: Growth Market Leaders Screen, O'Shaughnessy: Growth Screen II, Value on the Move–PEG With Hist Growth Screen, | 2 | My Portfolio, SSR, |
| Walgreens Boots Alliance Inc (WBA) | 3 | Dividend (High Relative Yield) Screen, Dogs of the Dow Screen, Dogs of the Dow: Low Priced 5 Screen, | 2 | My Portfolio, Omega, |



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aaii.com/stockideas/myscreens

# My Screens

As of April 30, 2020, 0 out of 3 strategies you are following have a 1-year annual gain greater than the S&P 500's gain of -1.4%. 20 companies have passed these screens today.

Stocks Passing My Screens | Grades | Performance | My Stocks Passing Any Screens | Stocks Passing Multiple Screens

Minimum Amount of Screens Passed: 2

**233 stocks** pass at least 2 screens.

Data as of 5/4/2020

| Company (Ticker) | # of Screens Passed | Screens Passed | Portfolio Held |
|---|---|---|---|
| PennyMac Financial Services Inc (PFSI) | 6 | Dreman With Est Revisions Screen, Driehaus Revised Screen, Est Rev: Top 30 Up, Est Rev: Up 5% Screen, O'Shaughnessy: Growth Screen II, P/E Relative Screen, | na |
| Booking Holdings Inc (BKNG) | 5 | Buffettology: EPS Growth, Buffettology: Sustainable Growth Screen, Est Rev: Down 5% Screen, Fundamental Rule of Thumb Screen, Return on Equity Screen, | na |
| NeoPhotonics Corp (NPTN) | 5 | Driehaus Revised Screen, Est Rev: Top 30 Up, Est Rev: Up 5% Screen, O'Shaughnessy: Growth Screen II, O'Shaughnessy: Small Cap Growth & Value Screen, | na |

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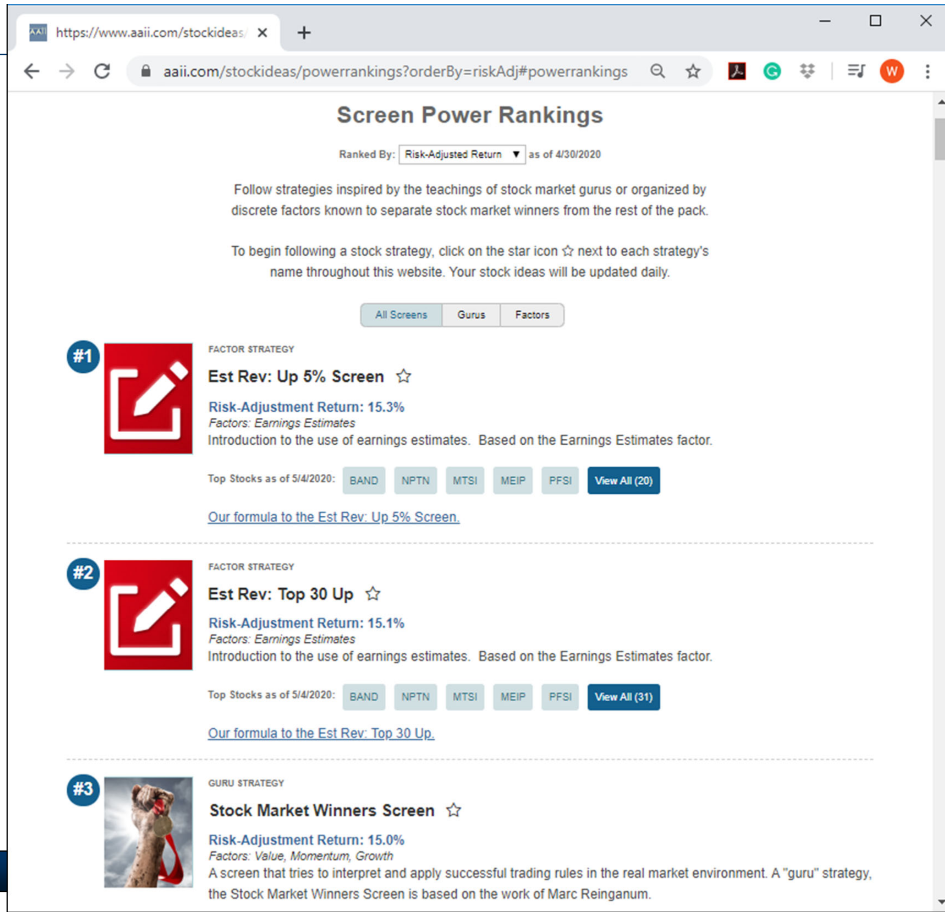





# Mutual Fund & ETF Screeners

## Fund Screening

- 17 predefined
- Custom Screening**
  - Fund type
  - Returns (NAV)
  - Cost & Fees
  - Fund Characteristics
  - Purchase
  - Risk & Volatility
  - Manager Tenure

## ETF Screening

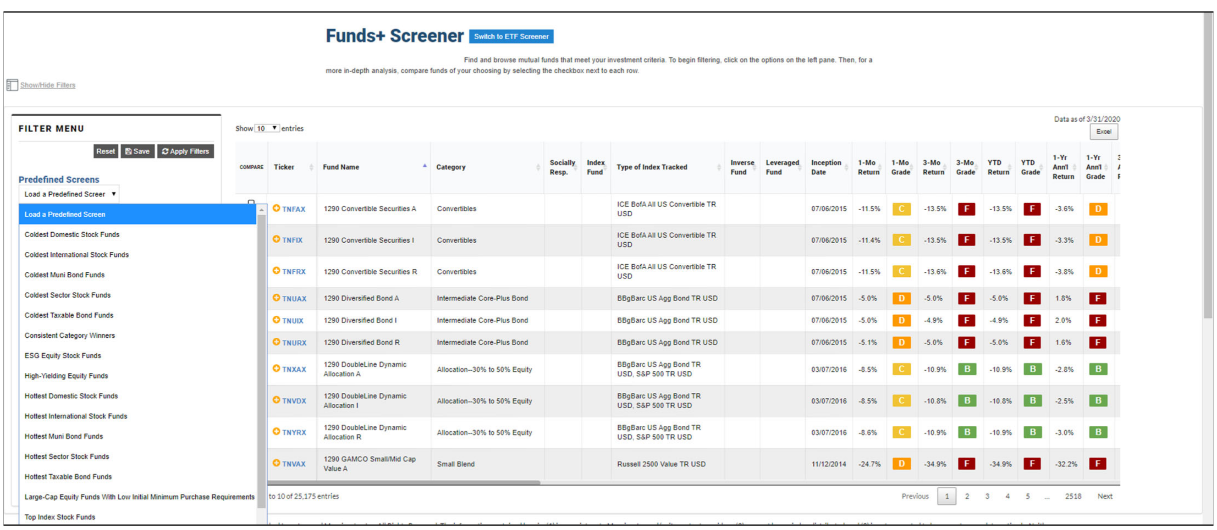
- 9 predefined
- Custom Screening**
  - ETF Type
  - Returns (NAV)
  - Cost & Fees
  - ETF Characteristics
  - Purchase
  - Risk & Volatility

***Save custom screens for return visits*

# Mutual Fund Screening Fields

- Fund Type
  - Global Asset Class
  - Fund Group
  - Category
  - Socially Responsible (Y/N)
- Returns (NAV)
  - 1- & 3-mo., YTD, 1-, 3-, 5- & 10-year
- Costs & Fees
  - Load (Y/N)
  - Expense Ratio
- Risk & Volatility
  - Category Risk
  - Total Risk
- Fund Characteristics:
  - Yield
  - Total Assets
  - Portfolio turnover
  - Leveraged (Y/N)
  - Inverse (Y/N)
- Purchase
  - Open to New Investors (Y/N)
  - Min. Initial Purchase
  - Fund Family
- Manager Tenure





## Funds+ Screener

Switch to ETF Screener

Find and browse mutual funds that meet your investment criteria. To begin filtering, click on the options on the left pane. Then, for a more in-depth analysis, compare funds of your choosing by selecting the checkbox next to each row.

Show/Hide Filters

**FILTER MENU**

Reset | Save | Apply Filters

**Predefined Screens**

Load a Predefined Screer

- Load a Predefined Screen
- Coldest Domestic Stock Funds
- Coldest International Stock Funds
- Coldest Muni Bond Funds
- Coldest Sector Stock Funds
- Coldest Taxable Bond Funds
- Consistent Category Winners
- ESG Equity Stock Funds
- High-Yielding Equity Funds
- Hottest Domestic Stock Funds
- Hottest International Stock Funds
- Hottest Muni Bond Funds
- Hottest Sector Stock Funds
- Hottest Taxable Bond Funds
- Large-Cap Equity Funds With Low Initial Minimum Purchase Requirements
- Top Index Stock Funds

Show 10 entries

Data as of 3/31/2020

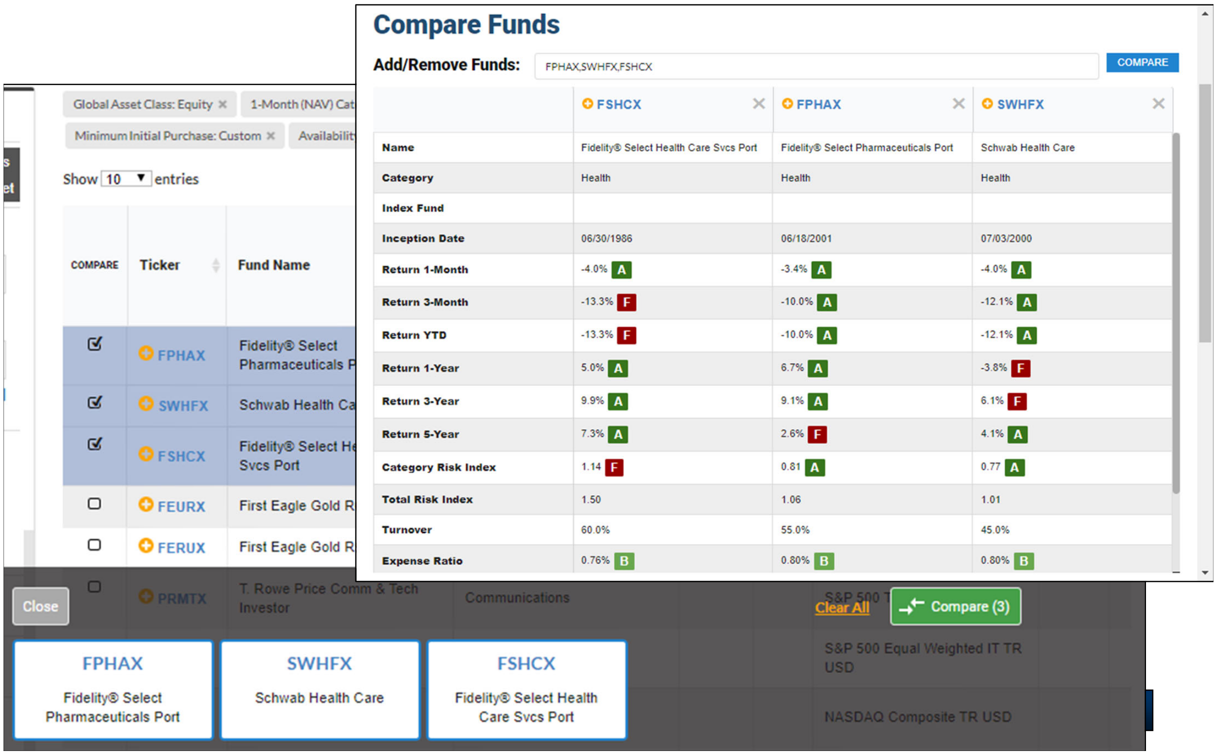
Excel

| COMPARE | Ticker | Fund Name | Category | Socially Resp. | Index Fund | Type of Index Tracked | Inverse Fund | Leveraged Fund | Inception Date | 1-Mo Return | 1-Mo Grade | 3-Mo Return | 3-Mo Grade | YTD Return | YTD Grade | 1-Yr Ann'l Return | 1-Yr Ann'l Grade |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | TNFAX | 1290 Convertible Securities A | Convertibles | | | ICE BofA All US Convertible TR USD | | | 07/06/2015 | -11.5% | C | -13.5% | F | -13.5% | F | -3.6% | D |
| | TNFIX | 1290 Convertible Securities I | Convertibles | | | ICE BofA All US Convertible TR USD | | | 07/06/2015 | -11.4% | C | -13.5% | F | -13.5% | F | -3.3% | D |
| | TNFRX | 1290 Convertible Securities R | Convertibles | | | ICE BofA All US Convertible TR USD | | | 07/06/2015 | -11.5% | C | -13.6% | F | -13.6% | F | -3.8% | D |
| | TNUAX | 1290 Diversified Bond A | Intermediate Core-Plus Bond | | | BBgBarc US Agg Bond TR USD | | | 07/06/2015 | -5.0% | D | -5.0% | F | -5.0% | F | 1.8% | F |
| | TNUIX | 1290 Diversified Bond I | Intermediate Core-Plus Bond | | | BBgBarc US Agg Bond TR USD | | | 07/06/2015 | -5.0% | D | -4.9% | F | -4.9% | F | 2.0% | F |
| | TNURX | 1290 Diversified Bond R | Intermediate Core-Plus Bond | | | BBgBarc US Agg Bond TR USD | | | 07/06/2015 | -5.1% | D | -5.0% | F | -5.0% | F | 1.6% | F |
| | TNXAX | 1290 DoubleLine Dynamic Allocation A | Allocation--30% to 50% Equity | | | BBgBarc US Agg Bond TR USD, S&P 500 TR USD | | | 03/07/2016 | -8.5% | C | -10.9% | B | -10.9% | B | -2.8% | B |
| | TNVDX | 1290 DoubleLine Dynamic Allocation I | Allocation--30% to 50% Equity | | | BBgBarc US Agg Bond TR USD, S&P 500 TR USD | | | 03/07/2016 | -8.5% | C | -10.8% | B | -10.8% | B | -2.5% | B |
| | TNYRX | 1290 DoubleLine Dynamic Allocation R | Allocation--30% to 50% Equity | | | BBgBarc US Agg Bond TR USD, S&P 500 TR USD | | | 03/07/2016 | -8.6% | C | -10.9% | B | -10.9% | B | -3.0% | B |
| | TNVAX | 1290 GAMCO Small/Mid Cap Value A | Small Blend | | | Russell 2500 Value TR USD | | | 11/12/2014 | -24.7% | D | -34.9% | F | -34.9% | F | -32.2% | F |

to 10 of 25,175 entries

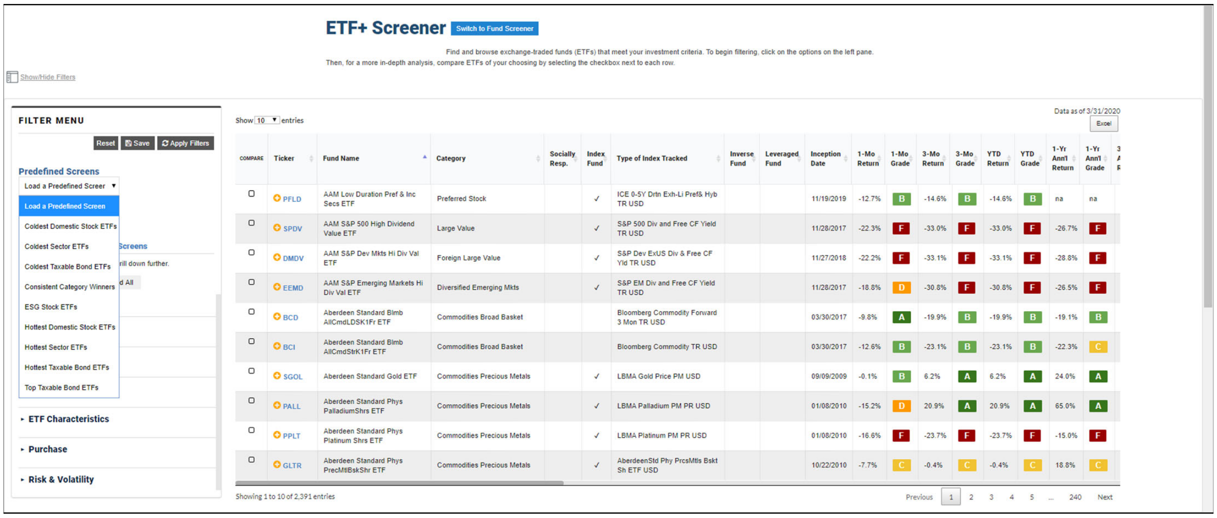
Previous 1 2 3 4 5 ... 2518 Next

# Compare Mutual Funds




# ETF Screening Fields

- ETF Type
  - Global Asset Class
  - Fund Group
  - Category
  - Socially Responsible (Y/N)
  - Index Fund (Y/N)
- Returns (NAV)
  - 1- & 3-mo., YTD, 1-, 3-, 5- & 10-year
- Returns (Price)
  - 1- & 3-mo., YTD, 1-, 3-, 5- & 10-year
- Risk & Volatility
  - Category Risk
  - Total Risk
- ETF Characteristics:
  - Yield
  - Total Assets
  - Avg. Daily Volume
  - Portfolio Turnover
  - Leveraged (Y/N)
  - Inverse (Y/N)
- Purchase
  - Fund Family
- Manager Tenure



# ETF+ Screener

Switch to Fund Screener

Find and browse exchange-traded funds (ETFs) that meet your investment criteria. To begin filtering, click on the options on the left pane.
Then, for a more in-depth analysis, compare ETFs of your choosing by selecting the checkbox next to each row.

Show/Hide Filters

**FILTER MENU**

Reset | Save | Apply Filters

**Predefined Screens**

Load a Predefined Screen

- Load a Predefined Screen
- Coldest Domestic Stock ETFs
- Coldest Sector ETFs
- Coldest Taxable Bond ETFs
- Consistent Category Winners
- ESG Stock ETFs
- Hottest Domestic Stock ETFs
- Hottest Sector ETFs
- Hottest Taxable Bond ETFs
- Top Taxable Bond ETFs

- ETF Characteristics
- Purchase
- Risk & Volatility

Show 10 entries

Data as of 3/31/2020

Excel

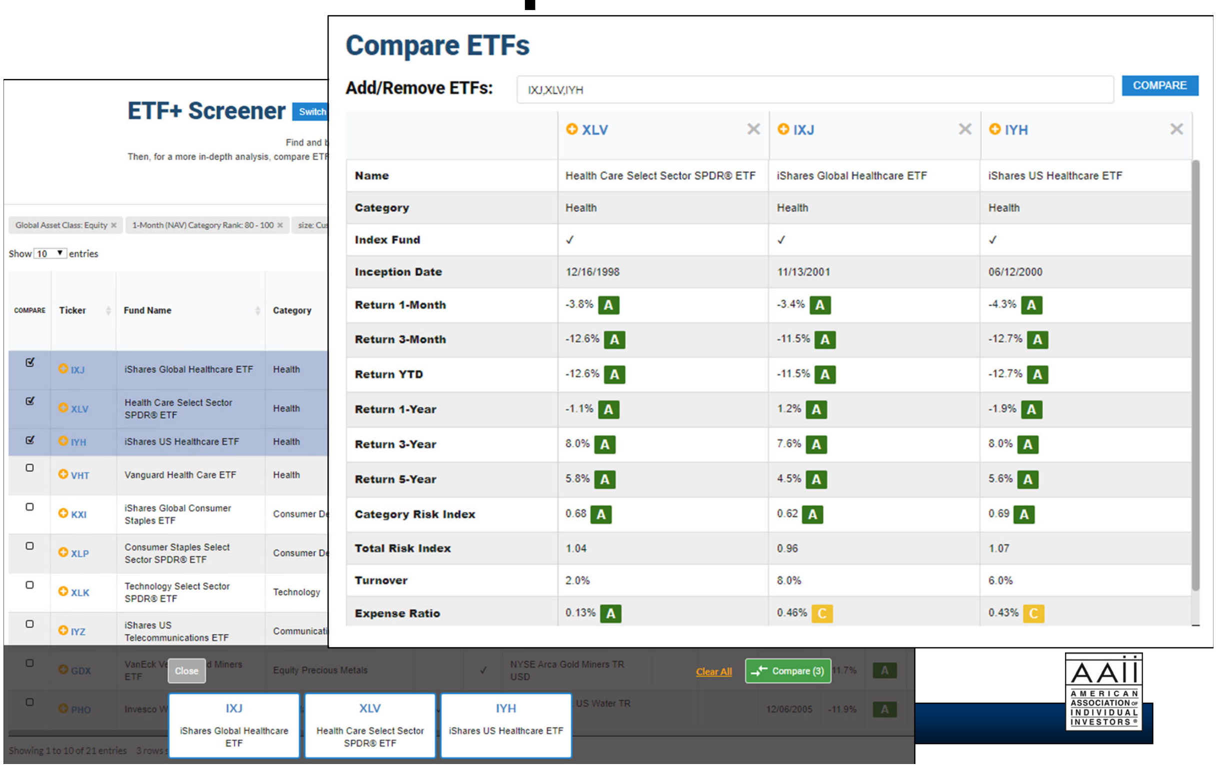
| COMPARE | Ticker | Fund Name | Category | Socially Resp. | Index Fund | Type of Index Tracked | Inverse Fund | Leveraged Fund | Inception Date | 1-Mo Return | 1-Mo Grade | 3-Mo Return | 3-Mo Grade | YTD Return | YTD Grade | 1-Yr Ann'l Return | 1-Yr Ann'l Grade |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| ☐ | PFLD | AAM Low Duration Pref & Inc Secs ETF | Preferred Stock | | ✓ | ICE 0-5Y Drtn Exh-Li Pref& Hyb TR USD | | | 11/19/2019 | -12.7% | B | -14.6% | B | -14.6% | B | na | na |
| ☐ | SPDV | AAM S&P 500 High Dividend Value ETF | Large Value | | ✓ | S&P 500 Div and Free CF Yield TR USD | | | 11/28/2017 | -22.3% | F | -33.0% | F | -33.0% | F | -26.7% | F |
| ☐ | DMDV | AAM S&P Dev Mkts Hi Div Val ETF | Foreign Large Value | | ✓ | S&P Dev ExUS Div & Free CF Yld TR USD | | | 11/27/2018 | -22.2% | F | -33.1% | F | -33.1% | F | -28.8% | F |
| ☐ | EEMD | AAM S&P Emerging Markets Hi Div Val ETF | Diversified Emerging Mkts | | ✓ | S&P EM Div and Free CF Yield TR USD | | | 11/28/2017 | -18.8% | D | -30.8% | F | -30.8% | F | -26.5% | F |
| ☐ | BCD | Aberdeen Standard Blmb AllCmdLDSK1Fr ETF | Commodities Broad Basket | | | Bloomberg Commodity Forward 3 Mon TR USD | | | 03/30/2017 | -9.8% | A | -19.9% | B | -19.9% | B | -19.1% | B |
| ☐ | BCI | Aberdeen Standard Blmb AllCmdStrK1Fr ETF | Commodities Broad Basket | | | Bloomberg Commodity TR USD | | | 03/30/2017 | -12.6% | B | -23.1% | B | -23.1% | B | -22.3% | C |
| ☐ | SGOL | Aberdeen Standard Gold ETF | Commodities Precious Metals | | ✓ | LBMA Gold Price PM USD | | | 09/09/2009 | -0.1% | B | 6.2% | A | 6.2% | A | 24.0% | A |
| ☐ | PALL | Aberdeen Standard Phys PalladiumShrs ETF | Commodities Precious Metals | | ✓ | LBMA Palladium PM PR USD | | | 01/08/2010 | -15.2% | D | 20.9% | A | 20.9% | A | 65.0% | A |
| ☐ | PPLT | Aberdeen Standard Phys Platinum Shrs ETF | Commodities Precious Metals | | ✓ | LBMA Platinum PM PR USD | | | 01/08/2010 | -16.6% | F | -23.7% | F | -23.7% | F | -15.0% | F |
| ☐ | GLTR | Aberdeen Standard Phys PrecMtlBskShr ETF | Commodities Precious Metals | | ✓ | AberdeenStd Phy PrcsMtls Bskt Sh ETF USD | | | 10/22/2010 | -7.7% | C | -0.4% | C | -0.4% | C | 18.8% | C |

Showing 1 to 10 of 2,391 entries

Previous 1 2 3 4 5 … 240 Next



# Compare ETFs



## Compare ETFs

**Add/Remove ETFs:** IXJ,XLV,IYH — COMPARE

| | XLV | IXJ | IYH |
|---|---|---|---|
| **Name** | Health Care Select Sector SPDR® ETF | iShares Global Healthcare ETF | iShares US Healthcare ETF |
| **Category** | Health | Health | Health |
| **Index Fund** | ✓ | ✓ | ✓ |
| **Inception Date** | 12/16/1998 | 11/13/2001 | 06/12/2000 |
| **Return 1-Month** | -3.8% A | -3.4% A | -4.3% A |
| **Return 3-Month** | -12.6% A | -11.5% A | -12.7% A |
| **Return YTD** | -12.6% A | -11.5% A | -12.7% A |
| **Return 1-Year** | -1.1% A | 1.2% A | -1.9% A |
| **Return 3-Year** | 8.0% A | 7.6% A | 8.0% A |
| **Return 5-Year** | 5.8% A | 4.5% A | 5.6% A |
| **Category Risk Index** | 0.68 A | 0.62 A | 0.69 A |
| **Total Risk Index** | 1.04 | 0.96 | 1.07 |
| **Turnover** | 2.0% | 8.0% | 6.0% |
| **Expense Ratio** | 0.13% A | 0.46% C | 0.43% C |

# A+ Investor User Resources

- User Guide: https://www.aaii.com/plus/userguide
- Getting Started Series: https://www.aaii.com/plus/gettingstartedarchive
- Weekly "Making the Grade" subscriber-only email: https://www.aaii.com/plus/makingthegrade
- Weekly "Did You Know" Segment: https://www.aaii.com/plus/didyouknow



## Getting Started With A+ Investor

### The Single Source for Your Investment Needs

As an investor, you have an overwhelming array of financial resources available to you that offer investment data and analysis tools, but often it means visiting multiple websites to get everything you need to make an informed investment decision. Lacking a single source for investment data and analysis tools is frustrating and expensive. Now you only need one login and password to access all of these powerful financial tools from one trusted place—AAII's A+ Investor!

### Making Smarter Asset Allocation Decisions

One area of investing that can have a significant impact on your overall portfolio performance is asset allocation. Specifically, there is the question, "Am I holding the right investments in the right proportion to one another?" The new My Portfolio tool gives the information to make the important decisions about your portfolio. At a glance, you can see whether your investments are still worth holding onto, whether you are taking on too much or too little risk and how diversified your portfolio truly is. Best of all, the data is customized for you and focused on the investments you are most interested in.

### Quick Evaluation With A+ Stock Grades

The new A+ Stock Grades system is a grading tool based on percentile rankings of multiple key metrics within five investment factors: Value, Growth, Momentum, EPS Estimate Revisions and Quality. They represent a summary of a company's fundamentals and give you a quick overview of how a stock rates based on the five investment factors that have been shown to produce market-beating results.

### Solutions for Fund Investors

Like our Stock Evaluator, you have access to specific evaluators for mutual funds and exchange-traded funds (ETFs). The Fund and ETF Evaluators are essential for drilling down into fundamental data to help you determine whether a fund possesses the characteristics you seek. The A—F grading system is employed to illustrate a fund's returns and risk against its peers. Plus, get a quick qualitative assessment of a fund through the Insights tab of the evaluator. Add your mutual fund and ETF holdings to My Portfolio to get regular updates and keep tabs on whether you should continue to hold them.

### Picking a Stock Selection Strategy

If you invest in individual stocks, you know there is an overabundance of sources for which stocks you should buy. However, there isn't a "one size fits all" approach when it comes to buying stocks. So the question becomes: With so many ways to get stock ideas, how do you know which strategies are right for you? Follow along as we discuss how you can evaluate the 60+ AAII stock screening strategies to see which ones fit your overall philosophy.

### Knowing When to Sell a Stock

If you invest in individual stocks, you know there is an overabundance of sources for which stocks you should buy. However, there isn't a "one size fits all" approach when it comes to buying stocks. So the question becomes: With so many ways to get stock ideas, how do you know which strategies are right for you? Follow along as we discuss how you can evaluate the 60+ AAII stock screening strategies to see which ones fit your overall philosophy.

### Building a Fund Screen

For fund investors—mutual funds and ETFs—a primary challenge is selecting and pruning their holdings. You have to be careful not to just chase strong absolute performance. You also can't just focus solely on return. As an A+ Investor, you have access to the mutual fund and ETF screeners, which have been designed to help you identify funds by factors such as their investment objective and style, relative return performance within their category, their absolute and relative costs, absolute and relative volatility, manager tenure, fund family and purchase constraints.

A+ Investor

Getting Started Archive | User's Guide


Wayne A. Thorp
*AAII Senior Financial Analyst*

Asset allocation is one of the most basic, but essential, aspects of sound investing. Empirical studies have shown that perhaps more than 90% of a portfolio's variability of returns can be explained by strategic asset allocation.

The volatility that we have seen over the first four months of 2020 has made choosing an appropriate asset allocation even more significant.

Whether you feel you are taking on too much risk or want to gain more exposure to equities slowly, AAII and A+ Investor have resources to help you adjust your current portfolio to a more appropriate allocation.

## AAII Asset Allocation Models

AAII offers members three Asset Allocation Models for three investor "personas" that are based on investment time horizon and risk aversion: aggressive, moderate and conservative.

The asset allocation area can be found by clicking on the Investing menu from the navigation bar. Here you will find the traits generally exhibited by investors in these three categories:

AAII AMERICAN ASSOCIATION OF INDIVIDUAL INVESTORS®



# A+ Did You Know

### A+ Did You Know Archive

Did you know your A+ Investor subscription gives you Portfolio Insights?
May 2, 2020

Did you know you have access to a powerful Diversification Analyzer?
April 25, 2020

Did you know each stock AAII tracks has a letter grade for factors dealing with value, growth, momentum, EPS estimate revisions and quality?
April 18, 2020

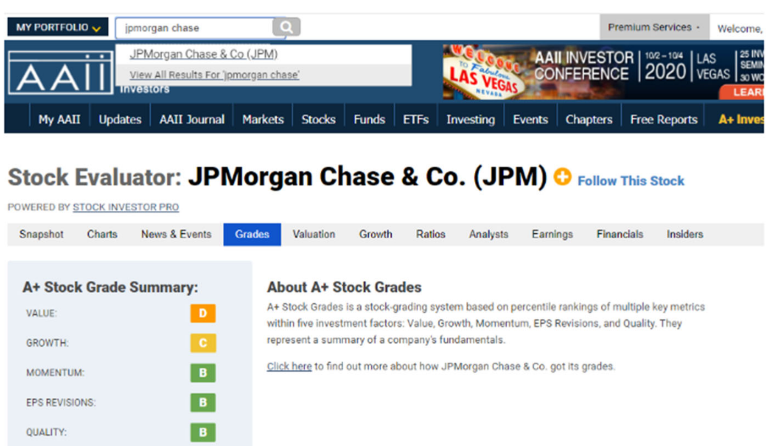
Did you know you have access to Mutual Fund Predefined Screens through the Funds+ Screener tool?
April 11, 2020

April 18, 2020

## Did you know each stock AAII tracks has a letter grade for factors dealing with value, growth, momentum, EPS estimate revisions and quality?

The A+ Stock Grades system is based on percentile rankings of multiple key metrics within five investment factors: value, growth, momentum, earnings per share (EPS) estimate revisions and quality. They represent a summary of a company's fundamentals and give you a quick overview of how a stock rates based on the five investment factors that have been shown to produce market-beating results.

Each factor grade is determined by a corresponding score, or percentile ranking, that is calculated and updated daily. The scores underlying each company's factor grades can be viewed from within the Stock Evaluator, on the Grades tab in the gray ribbon near the top of the page. Type in a company name or ticker in the search box at the top-left of the AAII website, and then click on the company's name in the drop-down list to access a company's Stock Evaluator page.

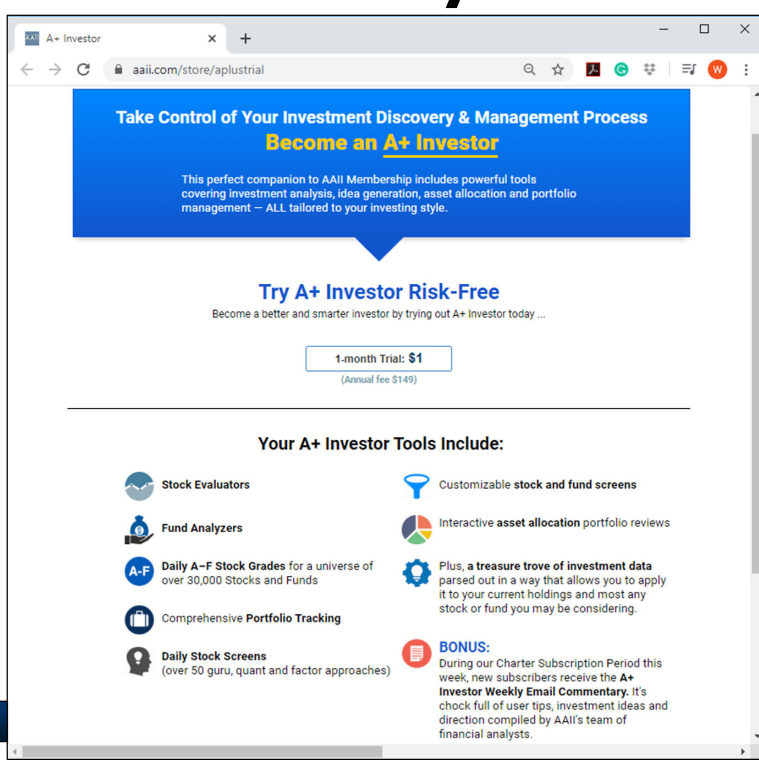


# A+ Investor & AAII's Other Premium Services

- Dividend Investing
  - 24-stock portfolio of dividend-paying stocks
- Stock Superstars Report
  - 40-stock portfolio using 4 superstar selection strategies (O'Neil, Dreman, O'Shaughnessy & Neff)
- VMQ
  - Portfolio of 20 stocks intersecting at Value, Momentum & Quality factors
- Stock Investor Pro
  - Software for do-it-yourself stock screening & analysis



# $1 Trial for Today's Attendees



# Thank You!

Contact me at wayne@aaii.com with questions or comments about this presentation

Contact A+ Investor at aplus@aaii.com