

Chapter Information

RESOURCES

Contact Kathy Kiwala, kiwala@pacifier.com, 360/607-8903

Email aaiichapter_portland@yahoo.com

Web Page www.aaii.com/localchapters

LEADERS

President
Vice President
Treasurer
Secretary
Facilities Chair
Program Chair
Registrar
Publicity Chair
Membership/Hospitality Chair
Website Developer
Audio Manager
Marketing Strategy Project Manager
Volunteers at Large

Kathy Kiwala
 Open
 Patrick Hager
 Morris Crump
 Rick Jessel
 Dr. Yuri Tsirulnikov
 Lewis Dulaney
 Marlin Wilson
 Doris Helge, Ph.D.
 John M. Wong
 Chris Satterm
 Tom Bunch
 Paul Clerc
 Homer Chin
 Dennis Smirl
 Bob Miller

Past President

Chapter Message

We are preparing for our annual elections! Positions open for election are president and vice president, for the term of 2017-2018. If you are interested in nominating yourself or another person for either of these positions, please contact Kathy Kiwala or another board member to find out more about the duties and responsibilities. You must be a member of AAIL to be eligible to hold either of these positions.

—Kathy Kiwala, President

Special Notes

Please feel free to contact the board for more information about AAIL, volunteering and future Portland Chapter meetings. Email us at aaiichapter_portland@yahoo.com.



**AAII SHADOW STOCK
 PORTFOLIO: FROM
 THEORY TO PRACTICAL
 APPLICATION**
John Baikowski
 President, AAIL

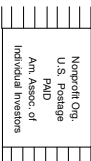


**REGULATORY UPDATE
 FROM THE SEC**
Lori Schock
 Director of Office of Investor Education
 and Advocacy, U.S. Securities and
 Exchange Commission

Saturday, November 12, 2016



625 N MICHIGAN AVE
 SUITE 1900
 CHICAGO, IL 60611-3151
 (800) 428-2244



REGISTER ONLINE! See inside for details

AAII Local
 Chapters

PORTLAND
 MEETING

Special Interest Groups

AAII CONVERSATIONS ABOUT INVESTING

Sr. Rene Foster
 rene55@juno.com

The CAI meetings are held the first Tuesday of each month from 7:00 to 8:45 pm at Terwilliger Plaza Retirement Center, 3545 Terwilliger Blvd, Portland OR.

If you like discussing information and opinions about financial matters, the economy or investing topics, contact Sr. Rene Foster to receive the monthly email notice, which contains the agenda and other information.

Driving Directions

From I-205, take Stark Street exit #20. Drive east on SE Washington, then turn right onto SE 106th Ave. The community center is just ahead on the left side of the street.

Future Meeting Saturday, January 14, 2017

Topic: **TBA**
 Speaker: **TBA**

Future Meeting Thursday, April 13, 2017

Topic: **TBA**
 Speaker: **Mark Hulbert**
 MarketWatch

**Plug into the investing community
 with your local chapter!**

Face-to-face investment education
 from renowned local and national speakers.

REGISTER ONLINE

http://aaiiportland.eventbrite.com

REGISTER BY MAIL

ADVANCE REGISTRATION FOR
Saturday, November 12, 2016

Name: _____

Email: _____

Phone: (____) _____

Family/Guest Name(s): _____

____ # Everyone: \$ _____

____ # DVDs: \$ _____

Total Fees: \$ _____

Please indicate any ADA disability
needs: _____

☐ **YES!** I would like more information about
volunteering to help this chapter.

Mail completed form with your
check (payable to AAIL) to:

AAIL Portland Chapter
P.O. Box 66034
Vancouver, WA 98666

No confirmation will be sent; your
canceled check is your receipt.

Meeting Details

**Saturday,
November 12, 2016
EAST PORTLAND
COMMUNITY
CENTER**

740 SE 106th Ave.
Portland, OR 97216
503-823-3460

SCHEDULE

Registration/Social
8:40 a.m.

Schock Program
9:00-10:20 a.m.

Refreshment Break
10:20 -10:40 a.m.

Bajkowski Program
10:40 a.m.-12:00 p.m.

FEES

In Advance (postmarked
by 11/9 or online before
5:00 p.m. on 11/10)

\$15/person

Students and under-18
attendees, **Free**

At the Door
(space permitting)

\$20/person

Students and under-18
attendees, **Free**

REFUND POLICY

No refunds.

More Information

Students and under-18
attendees must show ID
at the door. A certificate
of attendance is available.

We will have a DVD
available of this meeting;
include \$10 with
registration if you would
like one. If you are unable
to attend, the DVD is
available for \$20.



Lori Schock is the
director of the Securities
Exchange Commis-
sion's Office of Investor
Education and Advoca-
cy (OIEA). Under her
leadership, the Office
has been the driving
force for multiple inves-
tor testing initiatives
& diverse studies on
investor issues for the
Commission.

Schock has testified
before Congress, is
regularly quoted by
the media on investor
protection issues, and
presents workshops
to investors and other
market participants
both domestically and
internally.

Schock has also worked
for FINRA's office of
investor education and
started her career at the
SEC in 2001 as a staff
attorney, served as spe-
cial counsel to the direc-
tor and was promoted
to deputy director all in
the same office that she
leads today.

REGULATORY UPDATE FROM THE SEC

Lori Schock

*Director of Office of Investor Education
and Advocacy, U.S. Securities and
Exchange Commission*

YOU WILL LEARN

- An overview of the work of the SEC
- About recent SEC regulatory changes
- The impact on retail investors

In addition to an overview of the work of
the Securities and Exchange Commission
(SEC), Lori Schock will discuss regulatory
changes affecting individual investors
including the Jumpstart Our Business
Startups Act (JOBS Act). The JOBS
Act establishes the foundation for a
regulatory structure for startups and
small businesses to raise capital through
securities offerings.

**If you'd like to study up about both
topics before the meeting, you can go to:
SEC: www.sec.gov and AAIL: www.aail.com/model-portfolios.**

The opinions of speakers are their own and
not necessarily those of AAIL. It is not the
policy of AAIL or its local chapters, officers or
directors to promote any specific investments
or techniques of analysis. Presentations are
provided solely for informational purposes and
should not be construed as solicitations. There

are no representations or warranties of any kind,
expressed or implied, about the completeness,
accuracy, reliability, or suitability of meeting
information. Investment information presented
may not be appropriate for all investors and
should be independently verified.

Saturday, November 12, 2016, the AAIL Portland Chapter Presents ...



AAIL SHADOW STOCK PORTFOLIO: FROM THEORY TO PRACTICAL APPLICATION

John Bajkowski

President, AAIL

YOU WILL LEARN

- The principles behind AAIL's Model
Shadow Stock Portfolio
- How you can use free AAIL tools to
build and manage your own portfolio
of micro-cap stocks
- How to use buy and sell rules to
overcome emotional roadblocks to
investing

This presentation will discuss AAIL's
popular Model Shadow Stock Portfolio.
This model portfolio uses a quantitative
approach to select and manage a value-
oriented micro-cap stock portfolio.
You'll learn about the theory and
research behind the Model Shadow
Stock Portfolio and what makes it tick.
In addition, you'll find out how to go
about building and managing your own
personal version of AAIL's Shadow Stock
Portfolio using a few simple rules and
investing a minimal amount of time.

John Bajkowski is
the president and chief
executive officer of AAIL.
He has written a wide
range of stock analysis
and screening articles
for the *AAIL Journal*,
Computerized Invest-
ing, *Dividend Investing*,
Stock Superstars Report
and *AAIL.com*.

Bajkowski developed
Stock Investor Pro, a
software program and
dataset that brings
sophisticated stock
research, analysis and
screening of U.S.
publicly traded stocks
into the hands of the
individual investor.

He oversees the fund
data and analysis for
the Top Mutual Funds
Guide, the ETF Guide
and the *Quarterly Low-*
Load Mutual Fund Up-
date, and was editor of
Computerized Investing
from 1992-2006.

His remarks have ap-
peared in *Barron's*, *Busi-*
nessweek, *Money*, *The*
Wall Street Journal, and
numerous other media.